



# WSDOT DISADVANTAGED BUSINESS ENTERPRISE PROGRAM:

## Quarterly progress report to FHWA, June 2016

### OVERVIEW

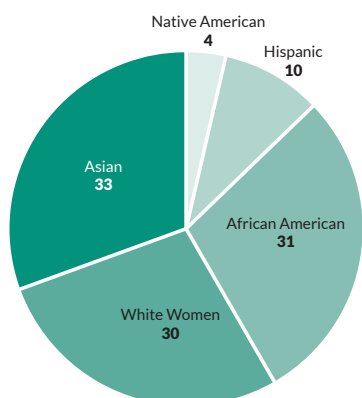
The Washington State Department of Transportation (WSDOT) provides quarterly progress reports to the Federal Highway Administration (FHWA) on both project and program-level Disadvantaged Business Enterprise (DBE) participation, pursuant to the Conciliation Agreement between FHWA and WSDOT, executed on March 20, 2014.

This quarterly report includes progress updates for both the Alaskan Way Viaduct (AWV) Bored Tunnel project and WSDOT's statewide DBE program. The participation and other data included in this report represents information verified through April 30, 2016; other activities noted reflect work performed through mid-June 2016.

### Improving Oversight, Expanding Opportunities:

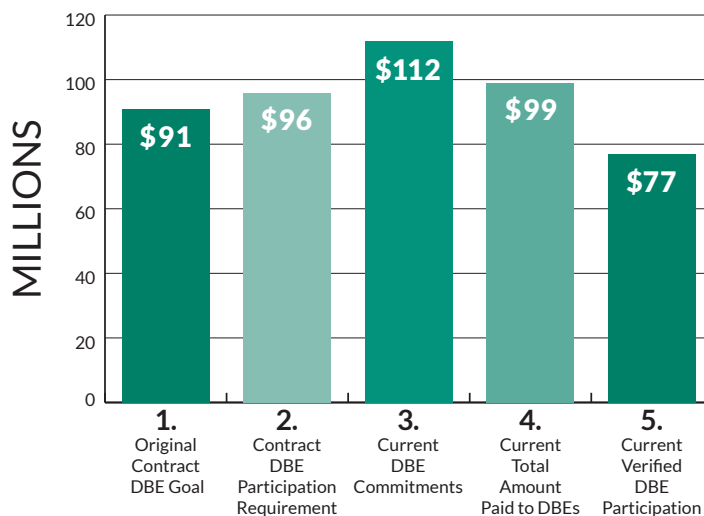
WSDOT conducted an updated analysis of the race, ethnicity and gender of the DBE firm owners working on the AWV project. This information is provided below:

#### 108 DBEs



### PROGRESS AT A GLANCE

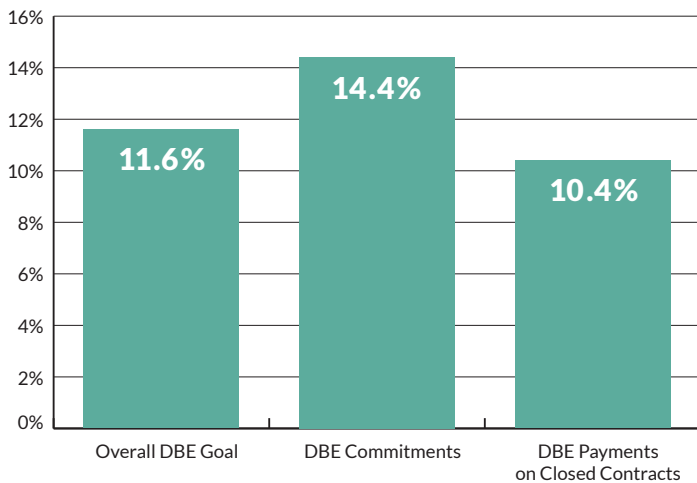
#### AWV Project-Specific Efforts



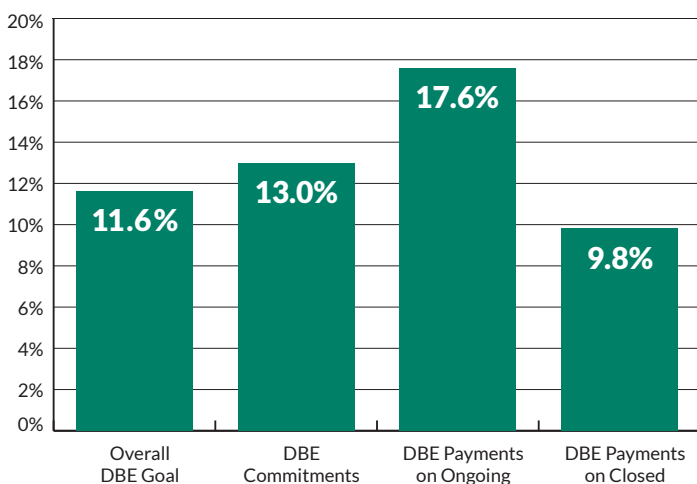
1. The Original Contract DBE Goal was established when the project was awarded.
2. The Contract DBE Participation Requirement is a condition of Change Order 91.
3. The Current DBE Commitments illustrates the total value of contracts awarded to DBEs.
4. The Current Amount Paid to DBEs is the total amount paid as of January 31, 2016.
5. The Current Verified DBE Participation demonstrates payments that have been audited following rigorous verification procedures (reviewing cancelled checks, verifying firms are certified for the work they are performing, federal counting rules, etc.) to ensure payments can count toward the Contract DBE Participation Requirement.

## Agency Progress

Thus far for Federal Fiscal Year (FFY) 2016 (October 1, 2015 through May 31, 2016), WSDOT (Local Programs, Consultant Services and HQ Construction) has attained 14.4 percent in DBE commitments (condition of award goals 12.32 percent; voluntary participation 2.05 percent) and 10.4 percent in payments on closed contracts.



On June 1, WSDOT submitted the Semi-Annual (October 1, 2015 through March 31, 2016) Uniform Report of DBE Commitments/Award and Payment to FHWA. This report illustrated that for the first half of FFY 2016, WSDOT (Local Programs, Consultant Services and HQ Construction) attained 13.0 percent in DBE commitments (condition of award goals 12.06 percent; voluntary participation 0.94 percent), 17.6 percent in payment on ongoing contracts<sup>1</sup> and 9.8 percent in payments on closed contracts.



<sup>1</sup> Payments on ongoing contracts is only monitored and reported semi-annually.

## Seattle Tunnel Partners (STP) Efforts to Achieve DBE Contract Goal

This report constitutes the June 2016 FHWA Quarterly DBE Progress Report for the SR 99 Bored Tunnel Design-Build contract. This section summarizes DBE progress on the SR 99 Tunnel contract for the period of February 1, 2016 to April 30, 2016. A detailed summary of changes between Seattle Tunnel Partners (STP) DBE Progress Report #59 and Progress Report #62 may be found in Exhibit A.

STP monthly DBE Progress Reports #60, #61 and #62 were verified following the monthly verification procedures, approved by FHWA. WSDOT's verification of STP's DBE Progress Report #62 may be found in Exhibit B. Exhibit B is being provided for comparative purposes with STP DBE Progress Report #59, which was previously submitted to FHWA under the March 2016 FHWA DBE Quarterly Progress Report.

- **A Summary of DBE Progress to Date can be found on the graph in Exhibit C.**
- The graphic included in this attachment indicates overall progress to date, both prior to and after the October 31, 2013, FHWA Title VI Investigative Report.
- The aforementioned graphic also indicates how the Design-Build Contractor is progressing against the \$96 million DBE participation requirement, which was established by Contract Change Order #91, executed on March 19, 2014, in conjunction with the WSDOT/ FHWA Conciliation Agreement, dated March 20, 2014.
- A summary of progress since September 30, 2014, is provided in the table below:

Summary	PR #30, dated 9/30/2014	PR #59, dated 4/30/2016	Progress
Contract DBE Participation Requirement	\$91,200,000	\$96,000,000	N/A
Current DBE Commitments (Awards)	\$25,807,559	\$111,573,793	\$85,766,234
Current Total Amount Paid to DBEs	\$20,131,885	\$98,547,423	\$78,415,538
Current DBE Participation	\$7,478,042	\$76,634,591	\$69,156,549
Number of DBE Contracts	104	200	96
Number of DBE Firms	77	108	31

- **DBE Subcontracting Oversight Committee.** Between November 1, 2015, and January 31, 2016, STP continued to report on procurement efforts at the Committee meetings.
  - STP submitted their quarterly DBE Action Plan, dated April 29, 2016, documenting their approach to DBE goal attainment on the project. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are separated by scope, estimated dollar value, and timeframe the work is anticipated to be available.
  - During February, STP discussed upcoming bids with WSDOT, the Washington State Office of Minority and Women's Business Enterprises (OMWBE), and the independent DBE Program Coordinator at bi-weekly STP Subcontracting Oversight Committees. At these meetings, STP provided additional details, requested feedback from participants, and incorporated suggestions.
  - During March, STP discussed the following bid opportunities and created and sent out Request for Proposals (RFP) for: (1) Wall Mounts and (2) Demolition of Top 5' of Access Shaft.
  - During April, STP discussed upcoming bids with WSDOT, OMWBE, and the independent DBE Program Coordinator at bi-weekly STP Subcontracting Oversight Committees. STP did not send out any new RFP's for this month.
- **STP DBE Communications.** STP's DBE Progress Report #59, submitted with the March 2015 FHWA DBE Report, documented outreach through March 2016. STP's DBE Progress Report #62 for April 2016, reports the following outreach events (See Exhibit B, Attachment #1):
  - On April 5, STP attended the Regional Contracting Forum and networked with minority- and women-owned businesses.
  - On April 7, STP attended the Tabor 100 Reception and networked with minority- and women-owned businesses.
  - On April 7, STP attended the National Association of Minority Contractors of Washington (NAMC) meeting and provided project updates and information on upcoming opportunities.
  - On April 15, STP attended the Washington Construction Design & Entrepreneurs (CDE) meeting and networked with minority- and women-owned businesses.
  - On April 30, STP attended the Tabor 100 General Membership meeting and provided project updates and information on upcoming opportunities.
  - On May 5, STP attended the NAMC meeting and provided project updates and information on upcoming opportunities.
  - On May 6, STP attended the Women in Trades Event to network with minority- and women-owned businesses.
  - On June 2, 2016, STP attended the NAMC meeting and provided project updates and information on upcoming opportunities.
  - On June 8, STP participated in the Procurement Technical Assistance Center (PTAC) Webinar and provided information on upcoming opportunities.
  - On June 17, STP attended the CDE meeting and networked with minority- and women-owned businesses.
  - On June 25, STP attended the Tabor 100 General Membership meeting and provided project updates and information on upcoming opportunities.
- **WSDOT DBE Outreach.** Outreach activities performed by the WSDOT AWWV HQ DBE Administrator for the AWWV Replacement Program, March 14, 2016, through June 1, 2016 included:
  - On March 26, the AWWV HQ DBE Program Administrator attended the Tabor 100 General

Membership meeting to provide project updates and information on upcoming opportunities.

- On April 5, the AWWV HQ DBE Program Administrator attended the Regional Contracting Forum and met with multiple firms to discuss future opportunities on the AWWV project.
- On April 30, AWWV HQ DBE Program Administrator attended the Tabor 100 General Membership meeting.
- On May 1, the AWWV HQ DBE Administrator met with a construction management firm to discuss AWWV project opportunities.
- On May 22, the AWWV HQ DBE Administrator attended the North Bound Off Ramp Bridge Project Pre-bid meeting and provided information regarding the DBE goal and DBE Support Services available for the project.
- On May 27, the AWWV HQ DBE Program Administrator met with a DBE steel and rebar firm about AWWV project opportunities.
- During this reporting period the AWWV HQ DBE Program Administrator spoke with multiple trucking firms regarding possible opportunities on the project.
- During this reporting period the AWWV HQ DBE Program Administrator assisted a DBE firm in acquiring additional North American Industrial Classification System (NAICS) codes for potential contracting opportunities on the AWWV project.

### Certification of Monetary Commitments

- **Verified DBE Participation:** AWWV project staff followed the Monthly DBE Progress Report Verification Procedures in performing the verification of the \$111.6 million in STP claimed commitments and \$76.6 million in claimed participation reported by STP in Progress Report #62. (See Exhibit B: Memorandum, Validation STP Monthly DBE Progress Report #62).

This process was also followed to validate STP Progress Reports #60 and #61.

- **Worksite Monitoring.** During the period of February 2016 through April 2016, the following activities occurred:

	February	March	April
Total Hours Reported:	4,023.50	7,635.25	3,964.50
Total Hours Certified Payroll:	4,303.00	7,543.75	3,593.00

- There were 14 on-site reviews performed; seven in February, five in March, and two in April.

The DBE labor hours were reported as follows for each month in this quarterly report:

- Discrepancies between Total Hours Reported and Certified Payrolls can be attributed to differences in reporting periods by the contractor(s) not submitting paperwork in a timely fashion. Thus, STP will continue to work with their subcontractors to ensure labor hours are reported in a timely and efficient manner.

Summary	PR #59	PR #62	Progress
Contract DBE Participation Requirement	\$96,000,000.00	\$96,000,000.00	N/A
Current DBE Commitments	\$104,802,500.05	\$111,573,793.48	\$6,771,293.43
Current Total Amount Paid to DBEs	\$94,529,388.05	\$98,547,422.78	\$4,018,034.73
Current DBE Participation	\$74,417,211.77	\$76,634,591.47	\$2,217,379.70

- **Monetary commitments.** A comparison of STP Progress Report #59, as reported in the March 2016 FHWA DBE Report, and Progress Report #62 (see Exhibit A: Attachment #1) is as follows:



## Utilization, Prompt Payment and Retainage

- STP Progress Report #62 stated that the number of DBE subcontractors working on the project increased to 108 DBE firms, which is two more than the 106 DBE firms reported in Progress Report #59.
- The total number of subcontracts increased from 194 reported on Report #59 to 200 reported on Report #62.
- Comparing Report #59 to Report #56 resulted in the following changes:
  - 57 Commitment changes totaling a \$2,239,486.91 increase. (Exhibit A: Attachment #2)
  - 63 Invoiced to Date changes totaling a \$3,656,238.22 increase. (Exhibit A: Attachment #3)
  - 47 Payments to Date changes totaling a \$3,564,138.75 increase. (Exhibit A: Attachment #4)
  - 52 DBE Amount (as amended) changes totaling a \$3,419,373.19 increase. (Exhibit A: Attachment #5)
- The status of contracts and associated retainage may be found in Exhibit B: Attachment #6: List of DBE Subcontracts.

## Monitoring and Investigation of Complaints Regarding DBE Participation

- **Prompt Payment Issue Update.** During this reporting period there were four (4) prompt payment issues raised:
  - As reported previously, a third-tier survey and site monitoring firm had not been paid for the months of October, November and December 2015 due to outstanding documentation from a higher-tier. As of April 1, 2016, payments have been made through February and the contractor is current.
  - A first-tier DBE janitorial firm was delayed payment pending a change order to extend their contract. AWW staff worked with STP staff to resolve payment issue. Payment was made to the DBE on April 29, 2016.
  - As reported previously a fourth-tier electrical supply and installer had not been paid for work performed in September, October and November of 2015. The initial review indicated the cause was possibly due to contract termination. WSDOT staff requested payment history to determine why payment delays were occurring.
    - Starting in February 2016, multiple payments have been made to this DBE's suppliers and subcontractors totaling \$722,650.
    - The DBE has since requested to be released from the project.
    - Presently WSDOT staff continues to work with the design-builder to resolve final contract closeout and retainage release to the DBE.
  - As reported previously, a fourth-tier DBE electrical supply and installer raised a prompt payment concern to the WSDOT Office of Equal Opportunity (OEO) at the end of February.
    - WSDOT and the AWW HQ DBE Program Administrator are working with the design-builder to research and resolve the issue.
    - Effective April 20, 2016, the DBE and prime contractor came to an agreement and payment was made to the DBE in the amount of \$63,000.
- **Project Separation**
- A DBE quality assurance firm separated from the AWW project. WSDOT OEO staff is presently investigating the nature of the separation.

## ◦ Support Services

- The AWV HQ DBE Program Administrator has referred four DBEs to the Support Services program during this reporting period:
  - Two demolition DBEs for assistance with bidding and estimating.
  - One electrical DBE for cash flow and accounting.
  - One steel and rebar DBE for invoicing, certified payroll and general administration.

## WSDOT DBE Program Level Progress

### DBE Monitoring Processes and Procedures:

- WSDOT OEO staff is investigating six complaints/disputes, including prompt payment. Following is a brief summary of the complaints:
  - One DBE firm has a complaint regarding improper substitution.
  - One DBE firm is a second-tier subcontractor and it appears the first-tier subcontractor has not been paid.
  - One firm has four complaints filed for multiple reasons, including two prompt payment/disputes, pricing changes and scheduling issues.
- WSDOT is looking into the following methods to increase prompt payment to DBEs:
  - Starting in July, DBEs will have the option to sign up for email notifications from the DBE Payment System. The emails will include information regarding payments from prime contractors. The goal is to allow for automatic payment monitoring, and to provide an avenue to report inaccurate or untimely payments. Both of these goals will contribute to expedited issue resolution.

- HQ Construction has launched a survey regarding the **Construction Contract Progress Payment Reporting System**. This survey will assist WSDOT in determining how the Payment Reporting System can be modified to better assist DBEs and other small businesses.
- WSDOT is also looking at other software solutions for agency-wide prompt payment monitoring to ensure divisions such as Local Programs, Consultant Services and Washington State Ferries are monitoring and reporting prompt payment in accordance with federal regulation.

- WSDOT has been made aware of concerns on the AWV project. WSDOT OEO staff is looking into these complaints.
- From June 20 through 23, 2016, Virginia Department of Transportation (VDOT) Office of Civil Rights staff were in Washington for a peer exchange. WSDOT has been made aware that VDOT has an exceptional DBE compliance program. WSDOT would like to incorporate best practices learned from VDOT into our DBE Program.
- WSDOT has increased the staffing within the OEO, with an emphasis on DBE Program staff. As of June 15, WSDOT OEO has seven DBE and/or Equal Employment Opportunity Compliance Analysts and an External Civil Rights Manager. This is nearly twice as many employees WSDOT has had in the past within these Compliance Programs.

### DBE Support Services and Outreach:

- In April, WSDOT made changes to the DBE Program Advisory Group. WSDOT has decreased the number of agency staff attending these meetings to foster a more productive environment. Additionally, the advisory group has begun developing a Barrier Work Plan which will investigate and offer solutions regarding:

- Barriers created by WSDOT processes and procedures.
- Barriers created by prime contractor's business practices.
- Barriers created by legislative requirements.
- Barriers created by DBE's business practices.

WSDOT intends to publish this plan as it may require federal and/or legislative approval and changes.

- On April 5, WSDOT OEO staff held a DBE Advisory Group meeting. During this meeting, members were provided a comprehensive overview of the DBE Program Disparity Study, including a lengthy question and answer session with the consultant. There was also a brief discussion regarding an Advisory Group Charter.
- On May 3, WSDOT OEO staff held a DBE Advisory Group meeting. During this meeting discussions included the barrier of bonding, in which a sub-committee was formed to discuss potential solutions. Topics of conversation also included a Disparity Study update as well as current DBE participation. WSDOT OEO staff continues to request nominations for additional members of the DBE community to participate on the committee.
- On June 7, WSDOT OEO staff held a DBE Advisory Group meeting. During this meeting, WSDOT OEO staff requested an update from the subcommittee regarding work on bonding, and discussed the benefits and potential negative repercussions of a mentor protégé program. The committee continued to discuss other barriers, current DBE participation and was provided an update on the Disparity Study.
- WSDOT continues to investigate the legality of a mandatory Minority, Small, Veteran and Women's Business Enterprise (M/S/V/WBE) Program for strictly state funded projects. WSDOT continues to look into other ways of increasing M/S/V/WBE participation in a race-neutral fashion.

- WSDOT OEO staff published the June Quarterly DBE Newsletter, attached as Exhibit D.
- The WSDOT OEO Director, Community Engagement Manager, and Business Development Advisor attended various outreach events, including but not limited to:
  - the Tabor 100 monthly membership meetings
  - NAMC monthly membership meetings
  - Department of Enterprise Service's (DES) Business Partnership Forum
  - Regional Contracting Forum
  - SR 520 Floating Bridge Opening
  - WSDOT's Olympic Region Transportation Contracting Forum
  - CDE meetings
  - Governor's Race & Equity Summit
  - Sound Transit's Overall DBE Goal Public Meeting

OEO staff attended these meetings to share information about the DBE and DBE Support Services Program.

- On April 4, WSDOT OEO staff posted [External Civil Rights Training](#) videos online. These videos include a comprehensive training on WSDOT's DBE Program implementation.
- On June 1, Khalia Davis joined the WSDOT OEO staff as the new Community Engagement Manager. Ms. Davis most recently worked for the Washington State Attorney General's Office as an Assistant Attorney General. Before working for the Attorney General's Office, she had her own law practice in the Seattle area. Ms. Davis is a Seattle native, and has a long demonstrated commitment to the community. We look forward to Ms. Davis' expertise as we continue work to strengthen community relationships.
- During the previous reporting period, WSDOT OEO staff planned statewide community meetings

to share information with individuals – particularly minorities, veterans and women – interested in working with WSDOT as a contractor or consultant; and those who are interested in working in the highway construction trades. WSDOT hosted these meeting statewide during March and April. In April, the meetings were held on April 6 in Tacoma, on April 21 in Union Gap, and on April 27 in Spokane. Information regarding these meetings is attached in Exhibit E.

- On April 1, WSDOT executed the **DBE Program Disparity Study** agreement with Colette Holt and Associates. On April 5, WSDOT held an internal project initiation meeting and an initiation meeting with the DBE Advisory Group. To date, the consultant has completed one data request and is working on collecting the second data request from the agency staff. During this reporting period, WSDOT and the consultant held Disparity Study Informational meetings statewide. In total, 129 individuals attended the study informational meetings:
  - May 10, Shoreline – 43 attendees
  - May 11, Vancouver – 13 attendees
  - May 12, Seattle – 49 attendees
  - June 1, Pasco – 9 attendees
  - June 2, Spokane – 15 attendees

For further information regarding the Disparity Study Informational meetings, please reference Exhibit F.

- DES is also preparing to conduct a Disparity Study; this study will analyze Washington State's delivery of the Minority and Women's Business Enterprise Program. Due to possible confusion with stakeholders, WSDOT and DES created a comparison sheet available as Exhibit G.

## EXHIBITS:

**EXHIBIT A:** Summary of DBE Progress Changes between STP DBE Progress Report #59 and #62.

Attachment #1, Summary of Comparison between DBE Progress Report #59 and #62

Attachment #2, Comparison of DBE Progress Report #59 and #62 DBE Commitment Validation

Attachment #3, Comparison of DBE Progress Report #59 and #62 DBE Invoice to Date Validation

Attachment #4, Comparison of DBE Progress Report #59 and #62 DBE Total Payments Validation

Attachment #5, Comparison of DBE Progress Report #59 and #62 DBE Participation Validation

**EXHIBIT B:** Memorandum, Validation STP Monthly DBE Progress Report #62

Attachment #1, STP DBE Progress Report No. 62, April 2016

Attachment #2, DBE Commitment Validation

Attachment #3, DBE Invoice to Date Validation

Attachment #4, DBE Total Payments Validation

Attachment #5, DBE Amount (as amended) Validation

Attachment #6, List of DBE Subcontracts

Attachment #7, DBE Certified Payrolls Hours Verification, April 2016

**EXHIBIT C:** Summary Graph of Progress to Date

**EXHIBIT D:** OEO Newsletter

**EXHIBIT E:** Community Meeting

**EXHIBIT F:** Disparity Study Information Meetings

**EXHIBIT G:** Disparity Studies Comparison

**Americans with Disabilities Act (ADA) Information:** This material can be made available in an alternate format by emailing the Office of Equal Opportunity at [wsdotada@wsdot.wa.gov](mailto:wsdotada@wsdot.wa.gov) or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

**Title VI Statement to Public:** It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.

# **Exhibit A**

**SR 99 Bored Tunnel Design-Build Contract**

**Summary of DBE Progress Changes- STP DBE Progress Report #59 vs #62**



**EXHIBIT A: ATTACHMENT # 1**  
**SUMMARY OF DBE PROGRESS REPORT VALIDATIONS- PROGRESS REPORT #62 VS #59**

	DBE Progress Report		
	1/1/2016- 1/31/2016	2/1/2016- 4/30/2016	
Progress to Date	#59	#62	Delta
Commitments	\$ 104,802,500.05	\$ 111,573,793.48	\$6,771,293.43
Invoiced to Date	\$ 96,749,693.62	\$ 100,113,407.00	\$3,363,713.38
Payments to Date	\$ 94,529,388.05	\$ 98,547,422.78	\$4,018,034.73
DBE Participation	\$ 74,417,211.77	\$ 76,634,591.47	\$2,217,379.70
# of DBE Contractors	106	108	2
# DBE Subcontracts	194	200	6
On-Site Reviews	20	14	N/A

DBE Progress Report	STP Reported Hours	Certified Payroll Hours	Delta
#60	4,023.50	4,303.00	279.50
#61	7,635.25	7,543.75	(91.50)
#62	3,964.50	3,593.00	(371.50)
<b>TOTAL</b>	<b>15,623.25</b>	<b>15,439.75</b>	<b>(183.50)</b>



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EXHIBIT A: ATTACHMENT # 2  
DBE COMMITMENT VALIDATION

8606 S.016 .05			Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider								REMARKS
	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed		Subcontract Start Date	Subcontract End Date	% of work completed	#62 Commitment	#59 Commitment	#62 - #59 Commitment Change	#62 Invoiced to Date	
37	Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 2,223,164.07	\$ 2,026,930.12	\$ 196,233.95	\$ 2,223,164.07	Equals Invoiced to Date total; Purchase Order #797; New Total Contract amount through CO #19 = \$5,058,702.65
	HNTB (Non-DBE sub)										
38	Oneill Service Group (Added on #50)	Matirals Approval Engineer	V	30-Apr-2015		80%	\$ 127,181.25	\$ 100,248.75	\$ 26,932.50	\$ 127,181.25	Equals Invoiced to Date total; Subcontract (thru CO #3) = \$140,000
39	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 415,834.36	\$ 415,514.38	\$ 319.98	\$ 415,834.36	Equals Invoiced to Date total; Subconsultant Agmt (thru CO #16) = \$431,418
	Holocene Drilling Inc (Non-DBE sub)										
40	Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 128,589.62	\$ 123,517.12	\$ 5,072.50	\$ 128,589.62	Subcontract Agreement (v_1.3, CO #003) Total Subcontract (Not to Exceed) = \$150,000.00
	HOS BROS. CONSTRUCTION (Non-DBE sub)										
41	ProUSA	Trucking	V	23-Nov-2013	Active	100%	\$ 129,364.00	\$ 99,985.00	\$ 29,379.00	\$ 129,364.00	Rate Agreement for trucking
	JH KELLY (Non-DBE sub)										
42	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 990,000.00	\$ 550,000.00	\$ 440,000.00	\$ 541,200.50	
43	Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014		100%	\$ 250,383.81	\$ 207,297.74	\$ 43,086.07	\$ 244,589.50	Purchase Order (15615800-116168-OJ) Total Including Tax & Freight = \$207,297.74
	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)										
44	JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1533	S	1-Aug-2013	4/1/2015	100%	\$ 3,717,236.52	\$ 4,235,528.11	\$ (518,291.59)	\$ 2,784,206.72	Equals Invoiced to Date total; Subcontract #1533 through CO #13 = \$3,975,668.67
	JH KELLY - FISK ELECTRIC (Non-DBE sub)										
45	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 5,700,708.55	\$ 5,693,595.35	\$ 7,113.20	\$ 5,682,575.00	Total value of Purchase Order (thru CO #15) in STP file = \$5,700,708.55
46	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,295,186.19	\$ 5,095,928.19	\$ 199,258.00	\$ 5,009,592.34	Total value of Purchase Order (PO801006-0572A) and Change Orders (thru #10) = \$5,295,186.19
47	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #256256	R	8-Feb-2016			\$ 344.47		\$ 344.47	\$ 344.47	Purchase Order = \$344.47
48	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #270234	R	25-Feb-2016			\$ 348,330.00		\$ 348,330.00	\$ 37,580.00	Purchase Order (thru CO #1) = \$348,330.00
49	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #61)	Electrical Supply #279119	R	30-Mar-2016			\$ 225,819.00		\$ 225,819.00	\$ -	Purchase Order (thru CO #1) = \$225,819.00
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)										
50	AAR Testing Laboratories Inc (LT to PSI) (Added #56)	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!	\$ 5,456.25	\$ 5,186.25	\$ 270.00	\$ 5,456.25	Equals Invoiced to Date total; Consultant Agreement for hourly rates in STP files
51	Sundancer Electric (LT to US Electric) (Added on #50)	Electrical Support for Tunneling Operations	R	14-Apr-2015		100%	\$ 1,299,170.91	\$ 1,288,705.91	\$ 10,465.00	\$ 1,299,170.91	Equals Invoiced to Date total; Total Subcontract Agreement through CO #3 = \$1,420,159.70 (NTE)
52	Sundancer Electric (LT to US Electric) (Added on #59)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 671,605.41	\$ 50,429.00	\$ 621,176.41	\$ 671,605.41	Subcontract Agreement (thru CO #2) = \$3,512,389.76
							\$ 111,573,793.48	\$ 104,802,500.05	\$ 6,771,293.43	\$ 100,113,407.00	



**EXHIBIT A: ATTACHMENT # 3**  
**DBE INVOICED TO DATE VALIDATION**

8606 S.016 .05	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#62 Commitment	#62 Invoiced to Date	#59 Invoiced to Date	#62 - #59 Invoiced (for April)
	<b>FIRST TIER</b>									
1	Buckley's Hauling (Added on #57)	Trucking Roster Rotation	V	29-Oct-15			\$ 9,591.25	\$ 9,591.25	\$ 6,200.00	\$ 3,391.25
2	Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 110,130.86	\$ 110,130.86	\$ 105,730.86	\$ 4,400.00
3	DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,302,058.34	\$ 1,302,058.34	\$ 1,266,984.02	\$ 35,074.32
4	DHD Trucking (aAdded on #57)	Trucking Roster Rotation	V	9-Nov-2015	Active	100%	\$ 10,827.50	\$ 10,827.50	\$ 8,357.50	\$ 2,470.00
5	EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		97%	\$ 1,870,724.00	\$ 1,870,724.00	\$ 1,756,000.00	\$ 114,724.00
6	Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 292,093.66	\$ 292,093.66	\$ 283,267.56	\$ 8,826.10
7	J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 167,821.20	\$ 167,821.20	\$ 161,221.20	\$ 6,600.00
8	JMR Trucking (Added on #56)	Trucking Roster Rotation	V	22-Oct-2015			\$ 10,053.75	\$ 10,053.75		\$ 10,053.75
9	Mak's Dump Truck (Added on #57)	Trucking Roster Rotation	V	18-Nov-2015			\$ 11,952.50	\$ 11,952.50	\$ 6,507.50	\$ 5,445.00
10	Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	18-Jul-2012	Active	100%	\$ 179,164.36	\$ 179,164.36	\$ 178,466.02	\$ 698.34
11	Meeds Environmental (Added on #50)	Filter Press	V	2-Apr-2015		100%	\$ 52,060.00	\$ 52,060.00	\$ 46,580.00	\$ 5,480.00
12	MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 446,376.89	\$ 446,376.89	\$ 412,969.74	\$ 33,407.15
13	Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,355,678.50	\$ 6,355,678.50	\$ 5,887,574.50	\$ 468,104.00
14	OMA Construction Inc. (Added on #57)	Trucking Roster Rotation	V	29-Oct-2015			\$ 14,720.00	\$ 14,720.00		\$ 14,720.00
15	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 18,532,987.93	\$ 18,517,747.03	\$ 17,796,322.01	\$ 721,425.02
16	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 964,799.81	\$ 964,799.81	\$ 904,302.32	\$ 60,497.49
17	Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 426,434.50	\$ 426,434.50	\$ 383,118.50	\$ 43,316.00
18	PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 924,832.49	\$ 924,832.49	\$ 869,729.74	\$ 55,102.75
19	Road Runner Transportation (Added on #57)	Trucking Roster Rotation	V	30-Nov-2015			\$ 7,380.00	\$ 7,380.00	\$ 2,427.50	\$ 4,952.50
20	Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,048,178.50	\$ 1,048,178.50	\$ 969,988.50	\$ 78,190.00
21	Tan Trucking (Added on #57)	Trucking Roster Rotation	V	6-Nov-2015			\$ 11,310.00	\$ 11,310.00	\$ 8,967.50	\$ 2,342.50
	<b>VENDORS</b>									
22	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 432,742.06	\$ 432,742.06	\$ 419,755.54	\$ 12,986.52
23	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 67,581.96	\$ 67,581.96	\$ 63,439.07	\$ 4,142.89
24	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 332,446.38	\$ 332,446.38	\$ 270,081.70	\$ 62,364.68
25	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 6,449.29	\$ 6,449.29	\$ 5,966.35	\$ 482.94
26	The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%	\$ 294,733.72	\$ 294,733.72	\$ 294,712.02	\$ 21.70
27	United Reprographics (Added on #47)	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 11,402.07	\$ 11,402.07	\$ 8,631.12	\$ 2,770.95







EXHIBIT A: ATTACHMENT # 3  
DBE INVOICED TO DATE VALIDATION

8606 S.016 .05	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#62 Commitment	#62 Invoiced to Date	#59 Invoiced to Date	#62 - #59 Invoiced (for April)
40	JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1533	S	1-Aug-2013	4/1/2015	100%	\$ 3,717,236.52	\$ 2,784,206.72	\$ 2,797,506.72	\$ (13,300.00)
	JH KELLY - FISK ELECTRIC (Non-DBE sub)									
41	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 5,700,708.55	\$ 5,682,575.00	\$ 5,676,793.61	\$ 5,781.39
42	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,295,186.19	\$ 5,009,592.34	\$ 4,911,166.59	\$ 98,425.75
43	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #256256	R	8-Feb-2016			\$ 344.47	\$ 344.47		\$ 344.47
44	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #270234	R	25-Feb-2016			\$ 348,330.00	\$ 37,580.00		\$ 37,580.00
	JH KELLY - SICE (Non-DBE sub)									
45	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	S	28-Jan-2014		100%	\$ 270,800.00	\$ 270,000.00	\$ 154,862.34	\$ 115,137.66
46	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	S	1-May-2015		100%	\$ 2,758,729.43	\$ 173,188.65	\$ 103,488.02	\$ 69,700.63
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)									
47	AAR Testing Laboratories Inc (LT to PSI) (Added #56)	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!	\$ 5,456.25	\$ 5,456.25	\$ 5,186.25	\$ 270.00
	SOLDATA - KPG (Non-DBE sub)									
48	Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 1,375,102.53	\$ 938,472.07	\$ 856,053.92	\$ 82,418.15
	US Electric (Non-DBE sub) (Added on #50)									
49	Sundancer Electric (LT to US Electric) (Added on #50)	Electrical Support for Tunneling Operations	R	14-Apr-2015		100%	\$ 1,299,170.91	\$ 1,299,170.91	\$ 1,288,705.91	\$ 10,465.00
50	Sundancer Electric (LT to US Electric) (Added on #59)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 671,605.41	\$ 671,605.41	\$ 50,429.00	\$ 621,176.41
							\$ 111,573,793.48	\$ 100,113,407.00	\$ 96,749,693.62	\$ 3,363,713.38



**EXHIBIT A: ATTACHMENT # 4**  
**DBE TOTAL PAYMENTS VALIDATION**

8606 S.016 .05			<b>Project Role</b> S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider								
	<b>Name of DBE Subcontractor * (LT = lower tier)</b>	<b>Description of Work Performed</b>		<b>Subcontract Start Date</b>	<b>Subcontract End Date</b>	<b>% of work completed</b>	<b>#62 Total Payments this Report (April)</b>	<b>#62 Total Payments to Date</b>	<b>#59 Total Payments to Date</b>	<b>Compare Total Pymts to Date (#62 - #59)</b>	<b>Variance</b>
	<b>FIRST TIER</b>										
1	Buckley's Hauling (Added on #57)	Trucking Roster Rotation	V	29-Oct-15				\$ 9,591.25		\$ 9,591.25	\$ (9,591.25)
2	Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%		\$ 110,130.86	\$ 103,330.86	\$ 6,800.00	\$ (6,800.00)
3	DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 15,654.64	\$ 1,296,113.54	\$ 1,263,020.82	\$ 33,092.72	\$ (17,438.08)
4	DHD Trucking (aAdded on #57)	Trucking Roster Rotation	V	9-Nov-2015	Active	100%		\$ 10,827.50		\$ 10,827.50	\$ (10,827.50)
5	EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		97%		\$ 1,870,724.00	\$ 1,756,000.00	\$ 114,724.00	\$ (114,724.00)
6	Exeltech Consulting Inc	PreConstruction Condition Survey	V	4-Aug-2011	Active	100%		\$ 540,912.44	\$ 488,684.52	\$ 52,227.92	\$ (52,227.92)
7	Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 10,000.00	\$ 732,184.83	\$ 722,184.83	\$ 10,000.00	\$ -
8	Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%		\$ 1,021,425.29	\$ 977,331.70	\$ 44,093.59	\$ (44,093.59)
9	Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%		\$ 284,253.82	\$ 283,267.56	\$ 986.26	\$ (986.26)
10	J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%		\$ 167,821.20	\$ 155,871.20	\$ 11,950.00	\$ (11,950.00)
11	JMR Trucking (Added on #56)	Trucking Roster Rotation	V	22-Oct-2015				\$ 6,285.00		\$ 6,285.00	
12	Mak's Dump Truck (Added on #57)	Trucking Roster Rotation	V	18-Nov-2015			\$ 5,445.00	\$ 11,952.50		\$ 11,952.50	\$ (6,507.50)
13	Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	18-Jul-2012	Active	100%		\$ 171,536.25	\$ 170,872.82	\$ 663.43	\$ (663.43)
14	Meeds Environmental (Added on #50)	Filter Press	V	2-Apr-2015		100%	\$ 2,740.00	\$ 52,060.00	\$ 43,840.00	\$ 8,220.00	\$ (5,480.00)
15	MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 8,145.88	\$ 436,358.24	\$ 409,260.99	\$ 27,097.25	\$ (18,951.37)
16	Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 159,740.25	\$ 6,355,678.50	\$ 5,781,878.50	\$ 573,800.00	\$ (414,059.75)
17	OMA Construction Inc. (Added on #57)	Trucking Roster Rotation	V	29-Oct-2015				\$ 14,720.00		\$ 14,720.00	\$ (14,720.00)
18	OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 721,425.02	\$ 18,517,747.03	\$ 17,796,322.01	\$ 721,425.02	\$ -
19	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%		\$ 942,925.06	\$ 904,302.32	\$ 38,622.74	\$ (38,622.74)
20	Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 10,829.00	\$ 415,605.50	\$ 383,118.50	\$ 32,487.00	\$ (21,658.00)
21	PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 27,990.75	\$ 911,276.49	\$ 856,173.74	\$ 55,102.75	\$ (27,112.00)
22	Quality Woodworking (Added on #52)	Wood Benches NOB	V	2-Jun-2015		100%		\$ 7,258.95	\$ 863.55	\$ 6,395.40	\$ (6,395.40)
23	Road Runner Transportation (Added on #57)	Trucking Roster Rotation	V	30-Nov-2015				\$ 7,380.00		\$ 7,380.00	\$ (7,380.00)
24	Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%		\$ 1,003,553.50	\$ 956,768.00	\$ 46,785.50	\$ (46,785.50)
25	Tan Trucking (Added on #57)	Trucking Roster Rotation	V	6-Nov-2015				\$ 11,310.00		\$ 11,310.00	\$ (11,310.00)
	<b>VENDORS</b>										
26	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 2,631.50	\$ 432,742.06	\$ 393,254.26	\$ 39,487.80	\$ (36,856.30)
27	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 1,103.89	\$ 66,857.12	\$ 63,439.07	\$ 3,418.05	\$ (2,314.16)



**EXHIBIT A: ATTACHMENT # 4**  
**DBE TOTAL PAYMENTS VALIDATION**

28	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 2,979.07	\$ 274,731.30	\$ 270,081.70	\$ 4,649.60	\$ (1,670.53)
29	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%		\$ 6,449.29	\$ 5,966.35	\$ 482.94	\$ (482.94)
30	The Bag Lady	Costruction Entrance Plates & Straw bales	R	NA	Active	100%		\$ 294,733.72	\$ 294,712.02	\$ 21.70	\$ (21.70)
31	United Reprographics (Added on #47)	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 156.24	\$ 10,989.02	\$ 8,134.86	\$ 2,854.16	\$ (2,697.92)
32	Wayron	Fabrication	M	9-Jan-2012	Active	100%		\$ 2,718,990.29	\$ 2,708,210.03	\$ 10,780.26	\$ (10,780.26)
	LOWER TIER										
	BASF - KTI Logistics (Non-DBE sub)										
33	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	90%		\$ 17,649.00	\$ 16,569.00	\$ 1,080.00	\$ (1,080.00)
	CROWN CORR (Non-DBE sub)										
34	Birch Equipment (LT to Crown Corr) (Added on #57)	Rental Equipment - Boom, Scissorlift	V	11/23/2015		0%	\$ 14,815.23	\$ 47,744.44	\$ 9,526.59	\$ 38,217.85	\$ (23,402.62)
35	MBI Construction Services (LT to Crown Corr) (Added #56)	Supply/Fabricate 88 Sheets	V	17-Sep-2015		68%	\$ 1,660.00	\$ 31,400.83	\$ 27,790.80	\$ 3,610.03	\$ (1,950.03)
36	Red Arrow Logistics (LT to Crown Corr) (Added #56)	Freight	V	1-Oct-2015		24%	\$ 6,572.50	\$ 85,224.23	\$ 53,327.33	\$ 31,896.90	\$ (25,324.40)
	FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)										
37	Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 137,571.39	\$ 2,223,164.07	\$ 2,026,930.12	\$ 196,233.95	\$ (58,662.56)
	HNTB (Non-DBE sub)										
38	Oneill Service Group (Added on #50)	Matirals Approval Engineer	V	30-Apr-2015		80%		\$ 127,181.25	\$ 86,426.25	\$ 40,755.00	\$ (40,755.00)
39	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%		\$ 414,761.88	\$ 412,747.88	\$ 2,014.00	\$ (2,014.00)
	HOS BROS. CONSTRUCTION (Non-DBE sub)										
40	ProUSA	Trucking	V	23-Nov-2013	Active	100%	\$ 29,379.00	\$ 129,364.00	\$ 99,985.00	\$ 29,379.00	\$ -
	JH KELLY (Non-DBE sub)										
41	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%		\$ 469,152.28	\$ 440,802.38	\$ 28,349.90	\$ (28,349.90)
	JH KELLY - DESERT MECHANICAL (Non-DBE sub)										
42	Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014		100%	\$ 44,119.87	\$ 105,112.39	\$ 60,992.52	\$ 44,119.87	\$ -
	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)										
43	JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1488	S	1-May-2013	4/1/2015	100%	\$ 119,293.66	\$ 596,767.64	\$ 477,473.98	\$ 119,293.66	\$ -
44	JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1533	S	1-Aug-2013	4/1/2015	100%	\$ 31,258.04	\$ 2,685,617.72	\$ 2,056,480.74	\$ 629,136.98	\$ (597,878.94)
	JH KELLY - FISK ELECTRIC (Non-DBE sub)										
45	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 1,159.94	\$ 5,682,575.00	\$ 5,676,793.96	\$ 5,781.04	\$ (4,621.10)
46	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 8,983.16	\$ 4,991,286.14	\$ 4,898,000.59	\$ 93,285.55	\$ (84,302.39)



**EXHIBIT A: ATTACHMENT # 4**  
**DBE TOTAL PAYMENTS VALIDATION**

47	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #58)	Electrical Supply #248451	R	6-Jan-2016		0%		\$ 472.66		\$ 472.66	\$ (472.66)
48	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #256256	R	8-Feb-2016			\$ 344.47	\$ 344.47		\$ 344.47	\$ -
49	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #270234	R	25-Feb-2016			\$ 37,580.00	\$ 37,580.00		\$ 37,580.00	\$ -
	JH KELLY - SICE (Non-DBE sub)										
50	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	S	28-Jan-2014		100%		\$ 256,500.00	\$ 121,862.43	\$ 134,637.57	\$ (134,637.57)
51	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	S	1-May-2015		100%	\$ 55,886.42	\$ 173,188.65	\$ 99,379.20	\$ 73,809.45	\$ (17,923.03)
	NCM (Non-DBE sub)										
52	OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings and Cradle	V	25-Mar-2014	3/26/2014	96%		\$ 193,452.50	\$ 178,751.50	\$ 14,701.00	\$ (14,701.00)
53	Mar-Vac / Marine Vacuum Service Inc. (LT to NCM) (Added on #42)	Vac-truck services	V	26-Jun-2014	Active	100%		\$ 33,270.94	\$ 22,689.69	\$ 10,581.25	\$ (10,581.25)
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)										
54	AAR Testing Laboratories Inc (LT to PSI) (Added #56)	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!		\$ 5,456.25	5186.25	\$ 270.00	\$ (270.00)
	SOLDATA - KPG (Non-DBE sub)										
55	Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%		\$ 856,053.89	\$ 834,597.64	\$ 21,456.25	\$ (21,456.25)
56	OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	Settlement Point Installations	S	6-Sep-2012	Active	100%		\$ 124,850.00	\$ 103,950.00	\$ 20,900.00	\$ (20,900.00)
	SOLDATA - Holocene Drilling (Non-DBE sub)										
57	Yonemitsu Geological (LT to Holocene, 3rd tier under SolData) (Added on #51)	Geological Engineering & Boring Logs	V	8-Apr-2015		100%	\$ 16,727.90	\$ 49,577.44	\$ 32,849.54	\$ 16,727.90	\$ -
	US Electric (Non-DBE sub) (Added on #50)										
58	Sundancer Electric (LT to US Electric) (Added on #59)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 237,689.55	\$ 505,166.11		\$ 505,166.11	\$ (267,476.56)
							\$ 1,711,882.37	\$ 98,547,422.78	\$ 94,529,388.05	\$ 4,018,034.73	#VALUE!



**EXHIBIT A: ATTACHMENT # 5**  
**DBE AMOUNT (AS AMENDED) VALIDATION**

8606 S.016 .05			Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider										
	Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed		Subcontract Start Date	Subcontract End Date	% of work completed	#62 Commitment	#62 Invoiced to Date	#62 Total Payments this Report (April)	#62 Total Payments to Date	#62 DBE Amount (as Amended)	#59 DBE Amount (as Amended)	#62 - #59 DBE Amount (as Amended)
	FIRST TIER												
1	Advanced Underground Utilities (Added on #43)	NOB Underground Utilities	S	12-Sep-14		100%	\$ 333,395.42	\$ 333,395.42		\$ 316,725.65	\$ 312,458.90	\$ 180,889.99	\$ 131,568.91
2	Buckley's Hauling (Added on #57)	Trucking Roster Rotation	V	29-Oct-15			\$ 9,591.25	\$ 9,591.25		\$ 9,591.25	\$ 9,591.25		\$ 9,591.25
3	Catherine Bassetti	Progress photography	V	5-Mar-12	Active	100%	\$ 110,130.86	\$ 110,130.86		\$ 110,130.86	\$ 109,539.36	\$ 102,739.36	\$ 6,800.00
4	DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,302,058.34	\$ 1,302,058.34	\$ 15,654.64	\$ 1,296,113.54	\$ 1,296,113.54	\$ 1,263,020.82	\$ 33,092.72
5	DHD Trucking (aAdded on #57)	Trucking Roster Rotation	V	9-Nov-2015	Active	100%	\$ 10,827.50	\$ 10,827.50		\$ 10,827.50	\$ 10,827.50		\$ 10,827.50
6	EPC Consultants, Inc.	Tunnel Quality Assurance	V	8-Jul-2013		97%	\$ 1,870,724.00	\$ 1,870,724.00		\$ 1,870,724.00	\$ 1,835,584.00	\$ 1,720,860.00	\$ 114,724.00
7	Exeltech Consulting Inc	PreConstruction Condition Survey	V	4-Aug-2011	Active	100%	\$ 549,645.00	\$ 549,645.00		\$ 540,912.44	\$ 540,912.44	\$ 488,684.52	\$ 52,227.92
8	Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 732,184.83	\$ 732,184.83	\$ 10,000.00	\$ 732,184.83	\$ 732,184.83	\$ 722,184.83	\$ 10,000.00
9	Global Geophysics	Vibration Monitoring	V	21-May-2012	Active	100%	\$ 1,021,425.29	\$ 1,021,425.29		\$ 1,021,425.29	\$ 1,021,425.29	\$ 977,331.70	\$ 44,093.59
10	Pro USA (LT to Grady)	South Portal Trucking	V	24-Jul-2012	10/18/2012	100%			COMPLETE		\$ 50,517.00	\$ 30,469.50	\$ 20,047.50
11	Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 292,093.66	\$ 292,093.66		\$ 284,253.82	\$ 157,155.97	\$ 156,169.71	\$ 986.26
12	On-Site Environmental Inc. (LT to Greylock)	Environmental Analytical Testing	V	14-Dec-2011	Active	100%					\$ 71,940.75	\$ 71,764.75	\$ 176.00
13	J. Kaiser & Associates (Added on #42)	DBE Coordinator - Consulting	V	30-Apr-2014	Active	100%	\$ 167,821.20	\$ 167,821.20		\$ 167,821.20	\$ 136,550.00	\$ 124,600.00	\$ 11,950.00
14	JMR Trucking (Added on #56)	Trucking Roster Rotation	V	22-Oct-2015			\$ 10,053.75	\$ 10,053.75		\$ 6,285.00	\$ 6,285.00		\$ 6,285.00
15	Mak's Dump Truck (Added on #57)	Trucking Roster Rotation	V	18-Nov-2015			\$ 11,952.50	\$ 11,952.50	\$ 5,445.00	\$ 11,952.50	\$ 11,952.50		\$ 11,952.50
16	Mar-Vac (Marine Vacuum Service Inc.)	Vac-truck services	V	18-Jul-2012	Active	100%	\$ 179,164.36	\$ 179,164.36		\$ 171,536.25	\$ 171,536.25	\$ 170,872.82	\$ 663.43
17	MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 446,376.89	\$ 446,376.89	\$ 8,145.88	\$ 436,358.24	\$ 436,358.24	\$ 409,260.99	\$ 27,097.25
18	Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,355,678.50	\$ 6,355,678.50	\$ 159,740.25	\$ 6,355,678.50	\$ 6,342,184.50	\$ 5,768,384.50	\$ 573,800.00
19	OMA Construction Inc. (Added on #57)	Trucking Roster Rotation	V	29-Oct-2015			\$ 14,720.00	\$ 14,720.00		\$ 14,720.00	\$ 14,720.00		\$ 14,720.00
20	Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 964,799.81	\$ 964,799.81		\$ 942,925.06	\$ 809,105.40	\$ 771,212.90	\$ 37,892.50
21	Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 426,434.50	\$ 426,434.50	\$ 10,829.00	\$ 415,605.50	\$ 226,947.96	\$ 209,169.96	\$ 17,778.00
22	Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%					\$ 188,657.54	\$ 169,165.54	\$ 19,492.00
23	PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 924,832.49	\$ 924,832.49	\$ 27,990.75	\$ 911,276.49	\$ 904,880.81	\$ 849,778.06	\$ 55,102.75
24	Quality Woodworking (Added on #52)	Wood Benches NOB	V	2-Jun-2015		100%	\$ 61,534.00	\$ 7,641.00		\$ 7,258.95	\$ 7,258.95	\$ 863.55	\$ 6,395.40
25	Road Runner Transportation (Added on #57)	Trucking Roster Rotation	V	30-Nov-2015			\$ 7,380.00	\$ 7,380.00		\$ 7,380.00	\$ 7,380.00		\$ 7,380.00
26	Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,048,178.50	\$ 1,048,178.50		\$ 1,003,553.50	\$ 1,003,553.50	\$ 956,768.00	\$ 46,785.50
27	Tan Trucking (Added on #57)	Trucking Roster Rotation	V	6-Nov-2015			\$ 11,310.00	\$ 11,310.00		\$ 11,310.00	\$ 11,310.00		\$ 11,310.00
	VENDORS												\$ -
28	Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 432,742.06	\$ 432,742.06	\$ 2,631.50	\$ 432,742.06	\$ 259,645.24	\$ 235,952.56	\$ 23,692.68
29	Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 67,581.96	\$ 67,581.96	\$ 1,103.89	\$ 66,857.12	\$ 39,923.83	\$ 37,873.00	\$ 2,050.83
30	Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 332,446.38	\$ 332,446.38	\$ 2,979.07	\$ 274,731.30	\$ 164,838.78	\$ 162,049.02	\$ 2,789.76
31	Quality Discount Supplies & Services (John W. Quarles)	Office Supplies	R	NA	Active	100%	\$ 6,449.29	\$ 6,449.29		\$ 6,449.29	\$ 3,869.57	\$ 3,579.81	\$ 289.76
32	United Reprographics (Added on #47)	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 11,402.07	\$ 11,402.07	\$ 156.24	\$ 10,989.02	\$ 10,989.02	\$ 8,134.86	\$ 2,854.16
33	Wayron	Fabrication	M	9-Jan-2012	Active	100%	\$ 2,718,990.29	\$ 2,718,990.29		\$ 2,718,990.29	\$ 2,717,440.29	\$ 2,706,660.03	\$ 10,780.26
	LOWER TIER												
	BASF - KTI Logistics (Non-DBE sub)												



**EXHIBIT A: ATTACHMENT # 5  
DBE AMOUNT (AS AMENDED) VALIDATION**

34	Savage Logistics, LLC (LT to KTI Logistics, LLC., 3rd tier under BASF)	Freight	V	17-Jun-2013	Active	90%	\$ 17,649.00	\$ 17,649.00		\$ 17,649.00	\$ 17,649.00	\$ 14,949.00	\$ 2,700.00
	CROWN CORR (Non-DBE sub)												
35	Birch Equipment (LT to Crown Corr) (Added on #57)	Rental Equipment - Boom, Scissorlift	V	11/23/2015		0%	\$ 56,155.15	\$ 56,155.15	\$ 14,815.23	\$ 47,744.44	\$ 28,646.66	\$ 5,715.95	\$ 22,930.71
36	MBI Construction Services (LT to Crown Corr) (Added #56)	Supply/Fabricate 88 Sheets	V	17-Sep-2015		68%	\$ 44,793.00	\$ 44,793.00	\$ 1,660.00	\$ 31,400.83	\$ 31,400.83	\$ 27,790.80	\$ 3,610.03
37	Red Arrow Logistics (LT to Crown Corr) (Added #56)	Freight	V	1-Oct-2015		24%	\$ 85,224.20	\$ 85,224.20	\$ 6,572.50	\$ 85,224.23	\$ 15,340.36	\$ 9,598.92	\$ 5,741.44
	FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)												
38	Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer & insurance monthly payment (PO 1279)	V	20-Nov-2013		100%	\$ 17,464.78	\$ 17,464.78		\$ 17,464.78	\$ 15,967.28	\$ -	\$ 15,967.28
39	Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Rental of 2 diesel heaters & delivery (PO 1335)	V	5-Dec-2013		100%	\$ 2,143.97	\$ 2,143.97		\$ 2,143.97	\$ 844.86	\$ -	\$ 844.86
	HNTB (Non-DBE sub)												
40	DKA (LT to HNTB)	CADD Drafting & Architectural Services	V	5-Aug-2011	1/14/2013	100%	\$ 492,750.26	\$ 492,750.26		\$ 492,750.26	\$ 492,750.26	\$ 249,022.73	\$ 243,727.53
41	Oneill Service Group (Added on #50)	Matirals Approval Engineer	V	30-Apr-2015		80%	\$ 127,181.25	\$ 127,181.25		\$ 127,181.25	\$ 127,181.25	\$ 86,426.25	\$ 40,755.00
42	Saez Consulting Engineers Inc. (LT to HNTB)	Utility Engineering Services & Structural Engineering	V	1-May-2011	Active	100%	\$ 415,834.36	\$ 415,834.36		\$ 414,761.88	\$ 411,299.38	\$ 409,285.38	\$ 2,014.00
	HOS BROS. CONSTRUCTION (Non-DBE sub)												
43	ProUSA	Trucking	V	23-Nov-2013	Active	100%	\$ 129,364.00	\$ 129,364.00	\$ 29,379.00	\$ 129,364.00	\$ 29,379.00	\$ -	\$ 29,379.00
44	Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 990,000.00	\$ 541,200.50		\$ 469,152.28	\$ 384,402.28	\$ 360,802.38	\$ 23,599.90
	JH KELLY - DESERT MECHANICAL (Non-DBE sub)												
45	Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014		100%	\$ 250,383.81	\$ 244,589.50	\$ 44,119.87	\$ 105,112.39	\$ 105,112.39	\$ 60,992.52	\$ 44,119.87
	JH KELLY - FISK ELECTRIC (Non-DBE sub)												
46	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 5,700,708.55	\$ 5,682,575.00	\$ 1,159.94	\$ 5,682,575.00	\$ 3,409,545.00	\$ 3,406,076.38	\$ 3,468.62
47	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,295,186.19	\$ 5,009,592.34	\$ 8,983.16	\$ 4,991,286.14	\$ 2,994,771.68	\$ 2,938,800.35	\$ 55,971.33
48	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #58)	Electrical Supply #248451	R	6-Jan-2016		0%	\$ 472.66	\$ 472.66		\$ 472.66	\$ 283.60		\$ 283.60
49	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #256256	R	8-Feb-2016			\$ 344.47	\$ 344.47	\$ 344.47	\$ 344.47	\$ 182.68		\$ 182.68
50	Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #270234	R	25-Feb-2016			\$ 348,330.00	\$ 37,580.00	\$ 37,580.00	\$ 37,580.00	\$ 22,548.00		\$ 22,548.00
	JH KELLY - SICE (Non-DBE sub)												
51	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #49)	Electrical contractor	S	28-Jan-2014		100%	\$ 270,800.00	\$ 270,000.00		\$ 256,500.00	\$ 256,500.00	\$ 121,862.43	\$ 134,637.57
52	Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	S	1-May-2015		100%	\$ 2,758,729.43	\$ 173,188.65	\$ 55,886.42	\$ 173,188.65	\$ 173,188.65	\$ 99,379.20	\$ 73,809.45
	NCM (Non-DBE sub)												
53	OMA (LT to NCM) (Added for #38)	Demo, Trucking Secants, Wall Openings and Cradle	V	25-Mar-2014	3/26/2014	96%	\$ 193,452.50	\$ 193,452.50		\$ 193,452.50	\$ 76,421.25	\$ 61,720.25	\$ 14,701.00
	PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)												
54	AAR Testing Laboratories Inc (LT to PSI) (Added #56)	Concrete testing and laboratory testing	V	19-Oct-2015		#DIV/0!	\$ 5,456.25	\$ 5,456.25		\$ 5,456.25	\$ 5,456.25	\$ 5,186.25	\$ 270.00
	SOLDATA - KPG (Non-DBE sub)												
55	Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	Settlement Monitoring	V	1-Apr-2012	Active	100%	\$ 1,375,102.53	\$ 938,472.07		\$ 856,053.89	\$ 856,053.89	\$ 834,597.64	\$ 21,456.25



EXHIBIT A: ATTACHMENT # 5  
DBE AMOUNT (AS AMENDED) VALIDATION

56	OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	Settlement Point Installations	S	6-Sep-2012	Active	100%	\$ 124,850.00	\$ 124,850.00		\$ 124,850.00	\$ 91,541.00	\$ 60,280.00	\$ 31,261.00
	SOLDATA - Holocene Drilling (Non-DBE sub)												
57	Yonemitsu Geological (LT to Holocene, 3rd tier under SolData) (Added on #51)	Geological Engineering & Boring Logs	V	8-Apr-2015		100%	\$ 49,577.44	\$ 49,577.44	\$ 16,727.90	\$ 49,577.44	\$ 49,577.44	\$ 32,849.54	\$ 16,727.90
	US Electric (Non-DBE sub) (Added on #50)												
58	Sundancer Electric (LT to US Electric) (Added on #59)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 671,605.41	\$ 671,605.41	\$ 237,689.55	\$ 505,166.11	\$ 81,475.26		\$ 81,475.26
							\$ 111,573,793.48	\$ 100,113,407.00	\$ 1,711,882.37	\$ 98,547,422.78	\$ 76,634,591.47	\$ 74,417,211.77	\$ 2,217,379.70

# **Exhibit B**

**SR 99 Bored Tunnel Design-Build Contract**

**Validation of STP DBE Progress Report #62**



June 8, 2016

TO: Bobby Forch, DBE Administrator AWV *BF*

FROM: Dawn McIntosh, P.E. *Dunes*

SUBJECT: Validation STP Monthly DBE Progress Report No. 62

Review of STP Monthly DBE Progress Report No. 62 (Report No. 62) began 6/1/2016 and was completed on 6/6/2016 (See Attachment 1).

- DBE Contractual Requirement (Change Order 91)= \$96,000,000
- DBE Goal= 8% of total contract value
- Commitments= \$111,573,793.48
- Total Payments= \$98,547,422.78
- DBE Participation= \$76,634,591.47

STP reported 108 subcontractors with 200 subcontracts. Comparing Report No. 62 to Report No. 61 resulted in changes as follows:

- 26 Commitment changes totaling \$1,160,315.35 increase (Attachment 2)
- 29 Invoiced to Date changes totaling \$1,880,847.88 increase (Attachment 3)
- 28 Payments to Date changes totaling \$1,857,484.99 increase (Attachment 4)
- 27 DBE Amount (as Amended) changes totaling \$498,930.11 increase (Attachment 5)

The validation process focused on review of those subcontracts that resulted in the changes noted above. The DBE Validation Binders for Monthly DBE Report No. 62 (April 2016) were used to verify the information. However, when information was limited in the binders, records were validated using subcontractor files located in the STP office.

Commitment comparisons resulted in favorable remarks as reported by STP and logged on Attachment 2. All Commitments were validated, as shown on Attachment 2.

Invoiced to Date comparisons resulted in favorable remarks as reported by STP and logged on Attachment 3. All Invoices were validated, as shown on Attachment 3.

Payments for subcontracts reported by STP resulted in favorable remarks. All payments were validated, as shown on Attachment 4.

DBE Amount (as Amended) comparisons all resulted in favorable remarks as reported by STP and logged on Attachment 5. All payments to date were equal to or more than DBE Amount (as Amended) and all DBE Amounts (as Amended) were validated.

STP reported an increase of \$36,254.00 in DBE participation for trucking. This increase in trucking was associated with work performed by DHD Trucking, Maks Dump Truck and ProUSA LLC. The trucking records were verified following the verification process, as approved by FHWA.

No new DBE subcontractors were added under this Report. No existing DBE subcontractors received new work under this report.

The current status of the DBE Subcontracts, regarding start dates, end dates, and retainage release dates may be found in Attachment #6.

A review of payroll reports showed the total hours reported on verified Certified Payrolls were 3,593.5 hours. This was 299.5 hours less than the 3,964.5 hours reported by the contractor. Over-reporting of hours were 264.75 hours by OMA Construction, 32 hours by Steellkorr, 31.5 hours by Savage Logistics (lower tier under STP) and 6.75 hours by Savage Logistics (lower tier under KTI/BASF). These were slightly offset by under-reporting of 36 hours by Sundancer Electric. This over and under-reporting can be attributed to the reporting cycle that subcontractors utilize, which does not correspond to the calendar month used in Certified Payroll. The breakdown, by skill craft, may be found in Attachment #7: DBE Labor Hours Validation

Conclusion:

STP's Report No. 62 is acceptable.

The STP Binder for Report No. 62 was helpful for validating the invoiced and payment information, as well as a good portion of the commitments. However, in order to verify several commitment amounts it was necessary to review the STP files in order to complete validation documents.

Attachments:

1. STP DBE Progress Report No. 62
2. DBE Commitment Validation
3. DBE Invoiced to Date Validation
4. DBE Total Payments Validation
5. DBE Amount (as amended) Validation
6. Status of DBE Subcontracts
7. DBE Certified Payroll Hours Verification




**ATTACHMENT #1**

Seattle Tunnel Partners  
999 Third Avenue, Suite 2424  
Seattle, WA 98104

WSDOT Contract No. 007999  
Federal Aid No. BR-NH-STP-STPF-0099(111)  
SR 99 Tunnel Project  
Phone: 206-971-8701 Fax: 206-971-8702

# Seattle Tunnel Partners

## SR 99 Tunnel Project

**Monthly DBE Progress Report No. 62**  
**Progress Report Period: April 1, 2016 – April 30, 2016**  
**Submission Date: Tuesday, May 31, 2016**

STP remains on target to achieve the DBE goal.

### DBE participation progress

Change Order 91 – Contractual Requirement:	\$96,000,000.00
DBE Goal:	8%
Current DBE commitments:	\$111,573,793.48
DBE payments:	\$98,547,422.78
DBE participation:	\$76,634,591.47
DBE Contracts:	200
DBE Firms:	108

Please see attached STP Form DBE09 for further details.

### Current DBE Efforts

#### Opportunities

STP submitted our quarterly DBE action plan on April 29, 2016. The plan provided anticipated upcoming contracting opportunities where STP will work to maximize DBE participation. Remaining contracting opportunities are broken down by scope, estimated dollar value, and time frame the work is anticipated to be available.

#### STP April RFPs/RFOs

STP discusses upcoming bids with WSDOT, OMWBE, and independent DBE Program Coordinator at bi-weekly STP Subcontracting Oversight Committees. At these meetings STP provides additional details and requests feedback from participants and incorporates suggestions. STP did not send out any new RFP's for this reporting month.

#### April Outreach

##### April 5, 2016, Regional Contracting Forum 2016

STP attended the Regional Contracting Forum 2016 and networked with minority and women owned businesses.

*April 7, 2016, Tabor 100 Reception*

STP attended the Tabor 100 Reception and networked with minority and women owned businesses.

*April 7, 2016, National Association of Minority Contractors of Washington (NAMC WA)*

STP attended the NAMC meeting and provided project updates and information on upcoming opportunities.

*April 15, 2016, Washington Construction Design & Entrepreneurs (CDE)*

STP attended the CDE meeting and networked with minority and women owned businesses.

*April 30, 2016, Tabor 100 General Membership Meeting*

STP attended the Tabor 100 meeting and provided project updates and information on upcoming opportunities.

**Planned May Outreach***May 5, 2016, National Association of Minority Contractors of Washington (NAMC WA)*

STP attended the NAMC meeting and provide project updates and information on upcoming opportunities.

*May 6, 2016, Women in Trades Event*

STP attended the Women in Trades Event and network with minority and women owned businesses.

**Planned June Outreach***June 2, 2016, National Association of Minority Contractors of Washington (NAMC WA)*

STP plans to attend the NAMC meeting. STP is on the agenda to speak and provide project updates and information on upcoming opportunities.

*June 8, 2016, PTAC Webinar*

STP plans to present a slideshow of STP's upcoming opportunities via Webinar.

*June 17, 2016, Washington Construction Design & Entrepreneurs (CDE)*

STP plans to attend the CDE meeting and network with minority and women owned businesses.

*June 25, 2016, Tabor 100 General Membership Meeting*

STP plans to attend and provide project updates and upcoming opportunities.

**Planned July Outreach***July 7, 2016, National Association of Minority Contractors of Washington (NAMC WA)*

STP plans to attend the NAMC meeting. STP is on the agenda to speak and provide project updates and information on upcoming opportunities.

July 15, 2016, Washington Construction Design & Entrepreneurs (CDE)

STP plans to attend the CDE meeting and network with minority and women owned businesses.

July 30, 2016, Tabor 100 General Membership Meeting

STP plans to attend and provide project updates and upcoming opportunities.



## Commitments increased as of month ending 4/30/16

\* First time reported

Name of DBE Subcontractor * (LT = lower tier)	March 2016 Commitment	April 2016 Commitment	Increase
<b>FIRST TIER</b>			
DHD Trucking	\$ 1,280,458.90	\$ 1,302,058.34	\$ 21,599.44
Greylock Consulting LLC	\$ 284,253.82	\$ 292,093.66	\$ 7,839.84
JMR Trucking	\$ 6,285.00	\$ 10,053.75	\$ 3,768.75
Mak's Dump Trucking	\$ 6,507.50	\$ 11,952.50	\$ 5,445.00
Marshbank	\$ 1,824,508.64	\$ 1,869,208.64	\$ 44,700.00
Meeds Environmental	\$ 49,320.00	\$ 52,060.00	\$ 2,740.00
MindSeekers, LLC	\$ 428,212.38	\$ 446,376.89	\$ 18,164.51
Oatridge Security Group Inc	\$ 6,195,938.25	\$ 6,355,678.50	\$ 159,740.25
OMA Construction Inc.	\$ 18,506,125.29	\$ 18,532,987.93	\$ 26,862.64
Oneill Service Group	\$ 942,925.06	\$ 964,799.81	\$ 21,874.75
Osiris Enterprises LLC	\$ 404,776.50	\$ 426,434.50	\$ 21,658.00
PRR, Inc.	\$ 883,285.74	\$ 924,832.49	\$ 41,546.75
Savage Logistics, LLC	\$ 1,003,553.50	\$ 1,048,178.50	\$ 44,625.00
<b>VENDORS</b>			
Birch Equipment Rental & Sales, Inc.	\$ 430,110.56	\$ 432,742.06	\$ 2,631.50
Excel Supply Company	\$ 65,753.23	\$ 67,581.96	\$ 1,828.73
Highway Specialties LLC	\$ 271,752.15	\$ 332,446.38	\$ 60,694.23
United Reprographics	\$ 10,832.78	\$ 11,402.07	\$ 569.29
<b>LOWER TIER</b>			
<b>Crown Corr (Non-DBE Sub)</b>			
Birch Equipment (LT to Crown Corr)	\$ 18,029.36	\$ 56,155.15	\$ 38,125.79
MBI Construction (LT to Crown Corr)	\$ 31,400.80	\$ 44,793.00	\$ 13,392.20
Red Arrow Logistics (LT to Crown Corr)	\$ 65,106.80	\$ 85,224.20	\$ 20,117.40
<b>FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)</b>			
Savage Logistics, LLC (LT to Lining Precast)	\$ 2,085,592.68	\$ 2,223,164.07	\$ 137,571.39
<b>Holocene Drilling Inc (Non-DBE sub)</b>			
Yonemitsu Geological Services	\$ 123,517.12	\$ 128,589.62	\$ 5,072.50
<b>JH KELLY (Non-DBE sub)</b>			
Scheduling and Information Services (LT to JH Kelly)	\$ 550,000.00	\$ 990,000.00	\$ 440,000.00
<b>JH KELLY - DESERT MECHANICAL (Non-DBE sub)</b>			
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly)	\$ 207,297.74	\$ 250,383.81	\$ 43,086.07
<b>JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)</b>			
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	\$ 3,975,668.67	\$ 3,717,236.52	\$ (258,432.15)
<b>US Electric (Non-DBE sub)</b>			
Sundancer Electric (LT to US Electric)	\$ 436,511.94	\$ 671,605.41	\$ 235,093.47
	<b>\$ 40,087,724.41</b>	<b>\$ 41,248,039.76</b>	<b>\$ 1,160,315.35</b>

MONTHLY DBE PROGRESS REPORT (From DBE-09)

Contract:  
Number: 007999

Contract Title:  
AWV SR 99 Bored Tunnel Alternative Design-Build Project

Contract Amount (as amended): 1,382,831,394.42

DBE Goal: 8%

Prime Contractor:  
Seattle Tunnel Partners

Through 4/30/16 (all numbers exclude WSST)  
Total payment to Prime to date: 1,119,560,725.81  
Total amount invoiced to date: 1,126,413,923.12  
Amount of last payment to Prime: 13,505,440.24

Date of last payment to Prime: 3/30/2016  
Total of last payment to Prime: 13,505,440.24  
Total value of DBE commitments: \$ 111,573,793.48

Total value of DBE amount (as Amended): \$ 76,634,591.47

Name of DBE Subcontractor * (LT = lower tier)	DBE Certification Number	Description of Work Performed	Subcontract Start Date	% of work completed	Commitment	Invoiced to Date	Total Payments this Report	Total Payments to Date	DBE Amount (as Amended)
FIRST TIER	FIRST TIER								
AAR Testing Laboratories, Inc.	#D2F4708231	Testing	19-Dec-2012	100%	\$ 720.00	\$ 720.00	COMPLETE	\$ 720.00	\$ 720.00
Advanced Underground Utilities	#D4M0023433	NOB Underground Utilities	12-Sep-2014	100%	\$ 333,395.42	\$ 333,395.42		\$ 316,725.65	\$ 312,458.90
Amaya Electric	#D5M0200177	Mitigation Buildings - Electrical	1-Aug-2013	100%	\$ 24,237.20	\$ 24,237.20	COMPLETE	\$ 24,237.20	\$ 24,237.20
Bear Wood Windows	#D4F0022489	NOB/SOB – Furnish & Install Shades, Kitchen Cabinets	1-Aug-2014	0%	\$ 31,605.00	\$ -		\$ -	\$ -
BESTCLS INC	#D3M9319663	Survey	8-Feb-2012	100%	\$ 189,938.00	\$ 189,938.00		\$ 189,938.00	\$ 189,938.00
Buckley's Hauling	#D3M0023694	Trucking Roster Rotation	29-Oct-2015	100%	\$ 9,591.25	\$ 9,591.25		\$ 9,591.25	\$ 9,591.25
C2PM	#D4F9620654	Design Quality Assurance Manager	1-Sep-2011	100%	\$ 416,500.00	\$ 416,500.00	COMPLETE	\$ 416,500.00	\$ 416,000.00
Catherine Bassetti	#D2F2313819	Progress photography	5-Mar-2012	100%	\$ 110,130.86	\$ 110,130.86		\$ 110,130.86	\$ 109,539.36
CivilTech Engineering	#D4M0018825	Design & Consulting	31-Jul-2012	100%	\$ 579,316.03	\$ 579,316.03		\$ 579,316.03	\$ 579,316.03
DBE Electric	#D2F1021272	Signals / Illumination; Electrical/ Bldg. Conduit; Underground Cable	28-Feb-2012	100%	\$ 1,005,698.49	\$ 1,005,698.49		\$ 987,067.07	\$ 641,798.40
DHD Trucking	#D3M9620749	Trucking Roster Rotation	9-Nov-2015	100%	\$ 10,827.50	\$ 10,827.50		\$ 10,827.50	\$ 10,827.50
DHD Trucking	#D3M9620749	Street Sweeping	10-Jan-2012	100%	\$ 1,302,058.34	\$ 1,302,058.34	\$ 15,654.64	\$ 1,296,113.54	\$ 1,296,113.54
Duncan Asphalt	#D2F0022253	MOT-2 SB & SBOFF Paving and CSBC Supply	11-Apr-2014	100%	\$ 51,630.60	\$ 51,630.60		\$ 49,049.07	\$ -
Environmental Management Training	#D3M9820748	Safety Training	3-Jan-2012	100%	\$ 22,345.00	\$ 22,345.00		\$ 22,345.00	\$ 22,345.00
EPC Consultants, Inc.	#D4M0022954	Tunnel Quality Assurance	8-Jul-2013	100%	\$ 1,870,724.00	\$ 1,870,724.00		\$ 1,870,724.00	\$ 1,835,584.00
Exeltech Consulting Inc	#D4M2304220	PreConstruction Condition Survey	4-Aug-2011	100%	\$ 549,645.00	\$ 549,645.00		\$ 540,912.44	\$ 540,912.44
Fryer-Knowles, Inc.	#D2F5010810	Ceramic Tile: North Operations Building and South Operations Building	22-Nov-2013	0%	\$ 226,954.00	\$ -		\$ -	\$ -
Garner Construction	#D2F5108989	24/7 Crane Rental w/ Operator for Access Shaft Excavation	29-Aug-2014	100%	\$ 732,184.83	\$ 732,184.83	\$ 10,000.00	\$ 732,184.83	\$ 732,184.83
Global Concrete Cutting	#D3M0022412	Concrete Sawing/Cutting	31-Oct-2013	100%	\$ 1,700.00	\$ 1,700.00		\$ 1,615.00	\$ 1,615.00

Global Geophysics	#D4F0021256	Vibration Monitoring	21-May-2012	100%	\$ 1,021,425.29	\$ 1,021,425.29		\$ 1,021,425.29	\$ 1,021,425.29
Grady Excavating	#D2W0020267	South Portal Trucking	11-Apr-2012	100%	\$ 881,412.65	\$ 881,412.65	COMPLETE	\$ 881,412.65	\$ 724,412.60
Pro USA (LT to Grady)	#D3M9422332	South Portal Trucking	24-Jul-2012	100%			COMPLETE		\$ 50,517.00
Green City Inc.	#D4M0021336	Irrigation/Landscaping NOB/SOB	2-Oct-2015	0%	\$ 278,400.00				
Greylock Consulting LLC	#D2F8019850	Environmental Consulting Services	1-Oct-2011	100%	\$ 292,093.66	\$ 292,093.66		\$ 284,253.82	\$ 157,155.97
On-Site Environmental Inc. (LT to Greylock)	#M3M0010381	Environmental Analytical Testing	14-Dec-2011	100%					\$ 71,940.75
AM Test Inc (LT to On-Site)	#E2F0022767	Environmental Analytical Testing	30-Oct-2015	100%					\$ 175.00
J. Harper Contractors Inc.	#D4M5310119	Demolition and Material Crushing	1-Nov-2011	100%	\$ 1,473,764.00	\$ 1,473,764.00		\$ 1,473,764.00	\$ 1,455,382.26
J. Keiser & Associates	#D5F0023416	DBE Coordinator - Consulting	30-Apr-2014	100%	\$ 167,821.20	\$ 167,821.20		\$ 167,821.20	\$ 136,550.00
JMR Trucking	#D3M6917511	Trucking Roster Rotation	22-Oct-2015	100%	\$ 10,053.75	\$ 10,053.75		\$ 6,285.00	\$ 6,285.00
JP Francis & Associates, Inc.	#D3M0018112	Mitigation building – plumbing and mechanical	24-Jul-2013	100%	\$ 53,510.86	\$ 53,510.86		\$ 50,835.32	\$ 50,835.32
KBA Inc/ Kristen Betty & Associates Inc.	#D2F4711296	Quality Control Temporary Assistance (File Clerk); inspection services	5-Dec-2012	100%	\$ 284,310.00	\$ 284,310.00		\$ 284,310.00	\$ 284,310.00
Leajak Concrete Construction Inc	#D3M5310714	Terminal 46 Hide Area BMP Relocation/ Terminal 46 Reefer Racks – Foundation Works	8-May-2012	100%	\$ 739,114.07	\$ 739,114.07	COMPLETE	\$ 739,114.07	\$ -
Global Concrete Cutting (LT to Leajak)	#D3M0021412	Concrete cutting	26-Jun-2012	100%			COMPLETE		\$ -
Kiawa Trucking LLC (LT to Leajak)	#D3M8019933	Trucking	28-Jun-2012	100%			COMPLETE		\$ -
Sunset Welding Inc. (LT to Leajak)	#D5M0018072	Rebar	30-Jun-2012	100%			COMPLETE		\$ -
Lorache CAD/IT Services LLC	#D3M8218532	T-46 - RTG Pavement Strengthening	5-Apr-2012	100%	\$ 439,521.57	\$ 439,521.57		\$ 403,898.05	\$ -
Leajak Concrete Construction Inc (LT to Lorache)	#D3M5310714	Excavating, install crush rock and pour concrete	1-Jul-2012	100%					\$ 42,257.94
Sunset Welding Inc. (Sub to Leajak, 3rd tier under Lorache)	#D5M0018072	Rebar	10-Jul-2012	100%			COMPLETE		\$ 7,800.00
Magnum Crane LLC	#D1M8719508	Crane, Maint, Operator, fuel, riggings	17-Dec-2012	100%	\$ 62,847.55	\$ 62,847.55		\$ 62,847.55	\$ 62,847.55
Mak's Dump Trucking	#D3M0019934	Trucking Roster Rotation	18-Nov-2015	100%	\$ 11,952.50	\$ 11,952.50	\$ 5,445.00	\$ 11,952.50	\$ 11,952.50
Marshbank	#D1M0011507	Sewer Relocation	7-Aug-2012	82%	\$ 1,869,208.64	\$ 1,531,494.58		\$ 1,454,920.83	\$ 1,059,402.86
Sundancer (LT to Marshbank)	#D1M0021607	Electrical	15-May-2014						\$ -
ProUSA (LT to Marshbank)	#D3M0022332	Trucking							\$ 942.50
Mar-Vac (Marine Vacuum Service Inc.)	#D1M0002341	Remove Underground Tank near Western Building	24-Oct-2012	100%	\$ 10,802.00	\$ 10,802.00	COMPLETE	\$ 10,802.00	\$ 10,802.00
Mar-Vac (Marine Vacuum Service Inc.)	#D1M0002341	Vac-truck services	18-Jul-2012	100%	\$ 179,164.36	\$ 179,164.36		\$ 171,536.25	\$ 171,536.25
Meeds Environmental	#D3M0021813	Filter Press	2-Apr-2015	100%	\$ 52,060.00	\$ 52,060.00	\$ 2,740.00	\$ 52,060.00	\$ -
Michaelis Trucking & Excavating	#D3F0021632	Trucking Roster Rotation	30-Nov-2015						



MindSeekers, LLC	#D3M6917745	Temporary Staffing	27-Feb-2013	100%	\$ 446,376.89	\$ 446,376.89	\$ 8,145.88	\$ 436,358.24	\$ 436,358.24
Neppel Electrical	#D5M0017938	Harrison Street Hangers and Con	31-Jul-2014	100%	\$ 473,613.72	\$ 473,613.72		\$ 449,933.02	\$ 449,933.02
Nolan Morrison	#D2F5522304	Document Control Consulting Svcs.	19-Sep-2011	100%	\$ 39,800.00	\$ 39,800.00	COMPLETE	\$ 39,800.00	\$ -
Oatridge Security Group Inc	#D5M8421939	Security Guard Services	10-Oct-2011	100%	\$ 6,355,678.50	\$ 6,355,678.50	\$ 159,740.25	\$ 6,355,678.50	\$ 6,342,184.50
OMA Construction Inc.	#D4M2316494	Trucking Roster Rotation	23-Oct-2015	100%	\$ 14,720.00	\$ 14,720.00		\$ 14,720.00	\$ 14,720.00
OMA Construction Inc.	#D4M2316494	North & South Portal Hauling; Trucking hourly	25-Sep-2012	100%	\$ 18,532,987.93	\$ 18,517,747.03	\$ 721,425.02	\$ 18,517,747.03	\$ 14,604,057.24
Ball & Son Excavation (LT to OMA)	#D3M0022750	Trucking	3-Jul-2013	100%					\$ -
C & E Transport Corp (LT to OMA)	#D3M0022750	Trucking	18-Nov-2015	100%					\$ 21,834.68
JMR Trucking (LT to OMA)	#D3M6917511	Trucking	1-Dec-2012	100%					\$ -
Mak's Dump Truck (LT to OMA)	#D3M0019934	Trucking	1-Dec-2012	100%					\$ 260,690.73
Ponglia Trucking Inc (LT to OMA)	#D4M0019913	Trucking	17-Jan-2012	100%					\$ 229,821.25
Puget Sound Utilities (LT to OMA)	#D2F0022597	Trucking	17-Jul-2013	100%					\$ 12,622.50
SSB Trucking & Construction (LT to OMA)	#D4M1020033	Trucking	26-Jun-2013	100%					\$ -
Tan Trucking (LT to OMA)	#D3M0020597	Trucking							\$ 2,025.00
Trinity Development (LT to OMA)	#D3M7217852	Trucking	17-Oct-2012	100%					\$ 210,560.19
OMA Construction Inc.	#D4M2316494	Safe Haven No. 003	11-Jan-2013	25%	\$ 300,000.00	\$ 73,603.70		\$ 73,603.70	\$ -
Oneill Service Group	#D5M9820775	Environmental inspection & compliance, stormwater, scientist/documentation services	13-Feb-2012	100%	\$ 964,799.81	\$ 964,799.81		\$ 942,925.06	\$ 809,105.40
Osiris Enterprises LLC	#D3M1021155	Janitorial Services	12-Jan-2012	100%	\$ 426,434.50	\$ 426,434.50	\$ 10,829.00	\$ 415,605.50	\$ 226,947.96
Rose Super Clean and Park Management (LT to Osiris)	#D3M0020597	Janitorial Services	6-Apr-2012	100%					\$ 188,657.54
Ponglia Trucking	#D4M0019913	Trucking Roster Rotation	30-Nov-2015						
Pro USA LLC	#D3M0022332	Trucking Roster Rotation	23-Oct-2015		\$ -				
PRR, Inc.	#D4F3908454	Subconsultant - Public Information	22-Feb-2011	100%	\$ 924,832.49	\$ 924,832.49	\$ 27,990.75	\$ 911,276.49	\$ 904,880.81
Quality Woodworking	#D3M0016768	Wood Benches NOB	2-Jun-2015	12%	\$ 61,534.00	\$ 7,641.00		\$ 7,258.95	\$ 7,258.95
Road Runner Transportation	#D3M0020684	Trucking Roster Rotation	30-Nov-2015	100%	\$ 7,380.00	\$ 7,380.00		\$ 7,380.00	\$ 7,380.00
Saez Consulting Engineers Inc. (dba SCE, Inc.)	#D5M0019808	Utility Monitoring Professional Services	31-Aug-2012	100%	\$ 174,926.15	\$ 174,926.15		\$ 174,926.15	\$ 174,926.15
Savage Logistics, LLC	#D2F8020092	Freight	28-Mar-2013	100%	\$ 1,048,178.50	\$ 1,048,178.50		\$ 1,003,553.50	\$ 1,003,553.50
Sea Tac Sweeping Service (dba Al Vinson)	#D3M6015063	Street Sweeping	5-Dec-2011	100%	\$ 8,360.00	\$ 8,360.00	COMPLETE	\$ 8,360.00	\$ 8,360.00
ST Fabrication/ Jabez	#D4M0018443	T-46 Crane Maintenance Facility	5-Jun-2012	100%	\$ 1,858,973.12	\$ 1,858,973.12		\$ 1,848,973.12	\$ -
Adept Mechanical (LT to ST Fabrication)	#D3M0018841	Mechanical	25-Sep-2012	100%					\$ -



Emerald City Weatherproofers (LT to ST Fabrication)	#D4M5111591	Weather Barrier Waterproofing	1-Oct-2012	100%			COMPLETE		\$ 33,703.35
Gibson Door & Millwork (LT to ST Fabrication)	#D2F5112317	Door and Hardware Installation	17-Sep-2012	100%			COMPLETE		\$ 10,452.00
ST Fabrication/ Jabez Steelkorr	#D4M0018443	T-46 Reefer Racks	30-May-2012	100%	\$ 1,949,279.54	\$ 1,946,410.88		\$ 1,946,410.88	\$ 1,439,053.52
Sundancer Electric Inc.	#D4M8822652	Furnish and Install all structural s	31-Oct-2013	85%	\$ 8,039,200.62	\$ 6,803,841.75		\$ 6,463,649.66	\$ 6,267,579.17
Tan Trucking	#D1M8522607	Tunnel Electrical	17-Jan-2013	100%	\$ 4,590,215.14	\$ 4,590,215.14		\$ 4,590,215.14	\$ -
Transcon Company LLC	#D3M0020597	Trucking Roster Rotation	6-Nov-2015	100%	\$ 11,310.00	\$ 11,310.00		\$ 11,310.00	\$ 11,310.00
Trinity Development	#D4M1022071	Underground Electrical Duct Ban	12-Dec-2013	96%	\$ 2,410,208.85	\$ 2,302,776.64		\$ 2,302,776.64	\$ 2,006,706.13
TripleNet Technologies	#D3M7217852	Trucking	4-Dec-2015	100%	\$ 9,185.00	\$ 9,185.00		\$ 9,185.00	\$ 9,185.00
United Professional Caulking	#D5M0018439	STP Website	9-Jan-2012	100%	\$ 7,762.00	\$ 7,762.00	COMPLETE	\$ 7,762.00	\$ 7,762.00
United Professional Caulking	#D5F0016236	Harrison Street Expansion Joints	12-Aug-2014	100%	\$ 79,250.00	\$ 79,250.00		\$ 75,287.50	\$ 75,287.50
Washington State Trucking	#D5F0016236	Sealants & Expansion Joints	3-Mar-2016	0%	\$ 3,682,039.00				
West Coast Printing	#D3M0021431	Haul and dispose of 130 tunnel s	12-Aug-2014	100%	\$ 184,470.00	\$ 184,470.00		\$ 184,331.46	\$ -
Yonemitsu Geological Services	#D4M0100930	Business Cards	NA	100%	\$ 19,402.45	\$ 19,402.45		\$ 19,402.45	\$ 19,402.45
Teknograf (LT to Yonemitsu Geological)	#D4M0013447	Soil conditioning mapping at acc	4-Dec-2014	100%	\$ 13,600.96	\$ 13,600.96		\$ 13,600.96	\$ 12,830.96
VENDORS	VENDORS								
Birch Equipment Rental & Sales, Inc.	#D2F0022284	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	NA	100%	\$ 432,742.06	\$ 432,742.06	\$ 2,631.50	\$ 432,742.06	\$ 259,645.24
Excel Supply Company	#D4F2312899	Safety Supplies, Janitorial supplies, jackets & hats	NA	100%	\$ 67,581.96	\$ 67,581.96	\$ 1,103.89	\$ 66,857.12	\$ 39,923.83
Highway Specialties LLC	#D2F0019389	Construction Signage, Traffic Drums, lights, safety, TMAs	NA	100%	\$ 332,446.38	\$ 332,446.38	\$ 2,979.07	\$ 274,731.30	\$ 164,838.78
Ideal Commercial Uniforms	#D5F0023534	STP Personalized item	4-Dec-2015		\$ 5,262.99	\$ 5,262.99		\$ 5,262.99	\$ 5,262.99
Mobile Electrical Distributors	#D2F0017012	Electrical Supply	25-Nov-2014	100%	\$ 157,391.55	\$ 157,391.55		\$ 157,391.55	\$ 94,434.93
Quality Discount Supplies & Services (John W. Quarles)	#D3M0700021	Office Supplies	NA	100%	\$ 6,449.29	\$ 6,449.29		\$ 6,449.29	\$ 3,869.57
ST Fabrication/ Jabez	#D4M0018443	Fabrication & Supply	NA	100%	\$ 2,615,770.99	\$ 2,615,770.99		\$ 2,615,770.99	\$ 2,126,412.23
The Bag Lady	#D2F0016394	Construction Entrance Plates & Straw bales	NA	100%	\$ 294,733.72	\$ 294,733.72		\$ 294,733.72	\$ 175,959.06
United Reprographics	#D3M0017126	Business cards & printing services	12-Jan-2015	100%	\$ 11,402.07	\$ 11,402.07	\$ 156.24	\$ 10,989.02	\$ 10,989.02
Wayron	#D6F0021571	Fabrication	9-Jan-2012	100%	\$ 2,718,990.29	\$ 2,718,990.29		\$ 2,718,990.29	\$ 2,717,440.29
LOWER TIER	LOWER TIER								
AMEC (Non-DBE sub)	AMEC								
NVL Laboratories (LT to AMEC)	#D4M5014199	Asbestos Analysis, sampling	12-Dec-2011	100%	\$ 16,255.02	\$ 16,255.02		\$ 16,255.02	\$ 16,255.02
BASF (Non-DBE sub) - EASI	BASF								
JP Francis & Associates (LT to BASF, 3rd tier under EASI)	#D3M0018112	Maintenance, Repairs & Modifications on Soil Conditioning Blending, Pump Unit.	6-Aug-2013	100%	\$ 2,418.13	\$ 2,418.13		\$ 2,418.13	\$ -



BASF - KTI Logistics (Non-DBE sub)	BASF								
Savage Logistics, LLC (LT to KTI Logistics, 3rd tier under BASF)	#D2F8020092	Freight	17-Jun-2013	100%	\$ 17,649.00	\$ 17,649.00		\$ 17,649.00	\$ 17,649.00
CBE Strategic	CBE Strategic								
LK Media (LT to CBE Strategic)	#D3F0024189	Consulting Services	1-Feb-2016	100%	\$ 1,955.00	\$ 1,955.00		\$ -	\$ -
CROWN CORR	CROWN CORR								
Birch Equipment (LT to Crown Corr)	#D2F0022284	Rental Equipment Boom, Scissor	23-Nov-2015	100%	\$ 56,155.15	\$ 56,155.15	\$ 14,815.23	\$ 47,744.44	\$ 28,646.66
MBI Construction (LT to Crown Corr)	#D3M0023698	Supply/Fabricate 88 Sheets	17-Sep-2015	100%	\$ 44,793.00	\$ 44,793.00	\$ 1,660.00	\$ 31,400.83	\$ 31,400.83
Red Arrow Logistics (LT to Crown Corr)	#D2F0018606	Logistics calling out freight	1-Oct-2015	100%	\$ 85,224.20	\$ 85,224.20	\$ 6,572.50	\$ 85,224.23	\$ 15,340.36
FD THOMAS (Non-DBE sub)	FD THOMAS								
Cascade Concrete Products Co., Inc. (LT to FD Thomas)	#D2F4817643	Waterproofing Material Supplier	4-Sep-2013	100%	\$ 912,918.60	\$ 912,918.60		\$ 912,918.60	\$ 547,751.16
Frank Coluccio Construction Company (FCCC) (Non-DBE sub)	FCCC								
CivilTech Engineering (LT to FCCC)	#D4M0018825	Design services	1-Sep-2011	100%	\$ 92,527.50	\$ 92,527.50		\$ 92,527.50	\$ 92,527.50
DBE Electric (LT to FCCC)	#D2F0021272	Electrical MOT Ph. 1A.2	3-Dec-2011	100%	\$ 172,944.68	\$ 172,944.68		\$ 172,944.68	\$ 122,485.04
Grady Excavating, Inc. (LT to FCCC)	#D2W0020267	Trucking	3-Dec-2011	100%	\$ 47,229.49	\$ 47,229.49		\$ 47,229.49	\$ 47,229.49
Rebar International Inc. (LT to FCCC)	#D2F2606440	Pile caps at micro pile; Supply and install reinforcing steel	23-May-2012	100%	\$ 28,415.44	\$ 28,415.44		\$ 28,415.44	\$ 28,415.44
Transcon (LT to FCCC)	#D4M1022071	Install electrical conduit	12-Jan-2012	100%	\$ 203,495.69	\$ 203,495.69		\$ 203,495.69	\$ 203,495.69
Frank Coluccio Construction Company - FD Thomas (Non-DBE sub)	FCCC - FD Thomas								
AAR Testing Laboratories, Inc. (LT to FD Thomas, 3rd tier under FCCC)	#D2F4708231	Laboratory Testing	1-Aug-2012	100%	\$ 19,586.50	\$ 19,586.50		\$ 19,586.50	\$ 19,586.50
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)	FPS ENCON PRECAST, LLC								
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast tunnel liner segments. One segment per shipment. (PO 804)	14-Jun-2013	100%	\$ 81,558.00	\$ 81,558.00		\$ 81,558.00	\$ 81,558.00
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	23-Jul-2013	100%	\$ 2,223,164.07	\$ 2,223,164.07	\$ 137,571.39	\$ 2,223,164.07	\$ 1,858,316.67

Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Pick up trailer & insurance monthly payment (PO 1279)	20-Nov-2013	100%	\$ 17,464.78	\$ 17,464.78	\$ 17,464.78	\$ 15,967.28
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Rental of 2 diesel heaters & delivery (PO 1335)	5-Dec-2013	100%	\$ 2,143.97	\$ 2,143.97	\$ 2,143.97	\$ 844.86
Savage Logistics, LLC (LT to Lining Precast)	#D2F8020092	Freight (PO 778)	26-Jun-2013	100%	\$ 828.00	\$ 828.00	\$ 828.00	\$ 828.00
HARRIS REBAR (Non-DBE sub)	HARRIS REBAR							
Rebar International Inc. (LT to Harris Rebar)	#D2F2606440	Install reinforcing steel bars	1-Aug-2013	100%	\$ 85,047.31	\$ 85,047.31	\$ 85,047.31	\$ 85,047.31
Hitachi Zosen USA Ltd (Non-DBE sub)	Hitachi Zosen USA Ltd							
CivilTech Engineering (LT to Hitachi)	#D4M0018825	Ground Condition Evaluation	6-Aug-2014	100%	\$ 127,804.00	\$ 127,804.00	\$ 127,804.00	\$ 35,668.16
Global GeoPhysics (LT to CivilTech - 3rd Tier under Hitachi)	#D4F0021256	Access Shaft - GPR & MASW Surv	28-Jan-2015					\$ 11,554.00
Yonemitsu Geological Services (LT to CivilTech- 3rd Tier under Hitachi)	#D4M0013447	Access Shaft - Soil Testing	28-Jan-2015					\$ 935.00
HNTB (Non-DBE sub)	HNTB							
3D InFusion (LT to HNTB)	#D4M0018480	CADD Drafting	23-Apr-2012	100%	\$ 22,571.00	\$ 22,571.00	\$ 22,571.00	\$ 22,571.00
Berona Engineers Inc. (LT to HNTB)	#D4M5110441	Mechanical Engineering	5-Apr-2012	100%	\$ 122,373.75	\$ 122,373.75	\$ 122,373.76	\$ 122,373.76
Bolima Drafting & Design Inc (LT to HNTB)	#D1M0205127	CADD Drafting	18-Aug-2011	100%	\$ 601,225.43	\$ 601,225.43	\$ 601,225.43	\$ 601,225.43
Casseday Consulting (LT to HNTB)	#D2F9821042	Traffic & Transportation	29-Jul-2011	100%	\$ 4,350.95	\$ 4,350.95	\$ 4,350.95	\$ 4,350.95
Chudgar Engineering Company (LT to HNTB)	#D4M9019387	Structural Engineering	20-Sep-2011	100%	\$ 101,510.22	\$ 101,510.22	\$ 101,510.22	\$ 101,510.22
CivilTech Engineering (LT to HNTB)	#D4M0018825	Utility & Structural Engineering Services & Staff Augmentation	25-Aug-2011	100%	\$ 937,830.53	\$ 937,830.53	\$ 931,564.98	\$ 931,564.98
Convergent Pacific (LT to HNTB)	#D4M0018854	Structural Engineering	28-Oct-2011	100%	\$ 176,417.44	\$ 176,417.44	\$ 176,363.27	\$ 176,363.27
DKA (LT to HNTB)	#D3M2403468	CADD Drafting & Architectural Services	5-Aug-2011	100%	\$ 492,750.26	\$ 492,750.26	\$ 492,750.26	\$ 492,750.26
Earth Mechanics, Inc. (LT to HNTB)	#D4M8020108	Geotechnical Seismic Design & Analysis	1-May-2011	100%	\$ 634,350.12	\$ 634,350.12	\$ 634,350.12	\$ -
Integrated Design Engineers, LLC (LT to HNTB)	#D4M8719103	Structural & Civil Engineering	6-Jan-2012	100%	\$ 1,053,181.53	\$ 1,053,181.53	\$ 1,037,887.89	\$ 1,037,887.89
O'Neill Service Group (LT to HNTB)	#D5M9820775	Materials Approval Engineer	30-Apr-2015	100%	\$ 127,181.25	\$ 127,181.25	\$ 127,181.25	\$ 127,181.25
Osborn Consulting Inc. (LT to HNTB)	#D2F0019030	Temporary Erosion & Sediment Control Backwater analysis	1-May-2011	100%	\$ 481,563.99	\$ 481,563.99	\$ 481,563.99	\$ 481,563.99
Osborn Pacific Group Inc. (LT to HNTB)	#D2F0000139	Landscape Architectural Services	5-Mar-2012	100%	\$ 33,377.30	\$ 33,377.30	\$ 33,377.30	\$ 33,377.30



Saez Consulting Engineers Inc. (LT to HNTB)	#D5M0019808	Utility Engineering Services & Structural Engineering	1-May-2011	100%	\$ 415,834.36	\$ 415,834.36		\$ 414,761.88	\$ 411,299.38
Schemata Workshop (LT to HNTB)	#D4F0021115	Architectural Specifications	16-Apr-2012	100%	\$ 186,757.53	\$ 186,757.53		\$ 186,383.93	\$ 186,383.93
Wakerobin (LT to HNTB)	#D2F0019744	Document Control General Mngt. Consulting Svcs.	26-Sep-2011	100%	\$ 117,676.00	\$ 117,676.00		\$ 114,530.10	\$ 114,530.10
Yonemitsu Geological Services (LT to HNTB)	#D4M0013447	Groundwater Monitoring	8-Jul-2011	100%	\$ 6,132.18	\$ 6,132.18		\$ 6,132.18	\$ 6,132.18
HNTB - HART CROWSER INC. (Non-DBE sub)	HNTB - HART CROWSER INC.								
Global Geophysics (LT to HNTB)	#D4F0021256	Geophysical Consulting Services	1-May-2011	100%	\$ 27,000.00	\$ 27,000.00		\$ 27,000.00	\$ 27,000.00
Holocene Drilling Inc (Non-DBE sub)	HOLOCENE DRILLING								
Yonemitsu Geological Services (LT to Holocene)	#D4M0013447	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	20-Jul-2012	100%	\$ 128,589.62	\$ 128,589.62		\$ 123,517.12	\$ 123,517.12
HOS BROS. CONSTRUCTION (Non-DBE sub)	HOS BROS								
ProUSA (LT to Hos Bros)	#D3M0022332	Trucking	23-Nov-2013	100%	\$ 129,364.00	\$ 129,364.00	\$ 29,379.00	\$ 129,364.00	\$ 29,379.00
JH KELLY (Non-DBE sub)	JH KELLY								
Scheduling and Information Services (LT to JH Kelly)	#D2F8218862	Project Controls and Scheduling	8-Apr-2013	55%	\$ 990,000.00	\$ 541,200.50		\$ 469,152.28	\$ 384,402.28
JH KELLY - DESERT MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL								
OMA Construction Inc. (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D4M2316494	Underground Piping	1-Oct-2012	100%	\$ 112,640.00	\$ 112,640.00		\$ 107,008.00	\$ 107,008.00
Wayron - (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Tunnel Ventilation Stacks	13-Feb-2013	99%	\$ 760,949.98	\$ 749,934.92		\$ 749,934.92	\$ 749,934.92
Wayron - (LT to Desert Mechanical, 3rd tier under JH Kelly)	#D6F0021571	Fabricating Transition Ductwork of Tunnel	19-Sep-2014	98%	\$ 250,383.81	\$ 244,589.50	\$ 44,119.87	\$ 105,112.39	\$ 105,112.39
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)	JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL								
JP Francis & Associates, Inc. (LT to Shinn, 4th tier under JH Kelly) #1488	#D3M0018112	Mechanical	1-May-2013	100%	\$ 628,754.60	\$ 628,176.43	\$ 119,293.66	\$ 596,767.64	\$ 477,473.98

JP Francis & Associates, Inc. (LT to Shinn, 4th tier under JH Kelly) #1533	#D3M0018112	Mechanical	1-Aug-2013	75%	\$ 3,717,236.52	\$ 2,784,206.72	\$ 31,258.04	\$ 2,685,617.72	\$ 1,931,708.98
JH KELLY - FISK ELECTRIC (Non-DBE sub)	JH KELLY - FISK ELECTRIC								
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0185A	23-Apr-2012	100%	\$ 4,374.53	\$ 4,374.53		\$ 4,374.53	\$ 2,624.72
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0444A	20-Apr-2013	100%	\$ 5,700,708.55	\$ 5,682,575.00	\$ 1,159.94	\$ 5,682,575.00	\$ 3,409,545.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0572A	10-Jul-2013	95%	\$ 5,295,186.19	\$ 5,009,592.34	\$ 8,983.16	\$ 4,991,286.14	\$ 2,994,771.68
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #248451	6-Jan-2016	100%	\$ 472.66	\$ 472.66		\$ 472.66	\$ 283.60
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #256256	8-Feb-2016	100%	\$ 344.47	\$ 344.47	\$ 344.47	\$ 344.47	\$ 182.68
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #270234	25-Feb-2016	11%	\$ 348,330.00	\$ 37,580.00	\$ 37,580.00	\$ 37,580.00	\$ 22,548.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #279119	30-Mar-2016	0%	\$ 225,819.00	\$ -		\$ -	\$ -
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0720A	1-Jun-2013	100%	\$ 174.65	\$ 174.65		\$ 174.65	\$ 104.79
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0673A	1-May-2013	100%	\$ 249.61	\$ 249.61		\$ 249.61	\$ 149.77
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0680X	1-May-2013	100%	\$ 47.41	\$ 47.41		\$ 47.41	\$ 28.45
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #0632A	1-Apr-2013	100%	\$ 2,760.56	\$ 2,760.56		\$ 2,760.56	\$ 1,656.34

Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #29250	1-Oct-2013	100%	\$ 1,573.93	\$ 1,573.93		\$ 1,573.93	\$ 944.36
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #32814	7-Nov-2013	100%	\$ 64,000.00	\$ 64,000.00		\$ 64,000.00	\$ 38,400.00
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #45436	13-Jan-2014	100%	\$ 608.40	\$ 608.40		\$ 608.40	\$ 365.04
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #7747	6-Aug-2013	100%	\$ 191.63	\$ 191.63		\$ 191.63	\$ 114.98
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #31840	1-Nov-2013	100%	\$ 1,445.40	\$ 1,445.40		\$ 1,445.40	\$ 867.24
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #118390	15-Sep-2014	100%	\$ 6,296.25	\$ 6,296.25		\$ 6,296.25	\$ 3,777.75
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	#D2F0017012	Electrical Supply #142187	6-Dec-2014	100%	\$ 4,627.44	\$ 4,627.44		\$ 4,627.44	\$ 2,776.46
Transcon (LT to FISK, 3rd tier under JH Kelly)	#D4M1022071	Electrical supply and install	11-Feb-2013	100%	\$ 59,187.03	\$ 59,187.03		\$ 59,187.03	\$ 59,187.03
JH KELLY - SICE (Non-DBE sub)	JH KELLY - SICE ELECTRIC								
Sundancer Electric (LT to SICE, 3rd tier under JH Kelly)	#D1M8522607	Electrical contractor	1-Jan-2014	100%	\$ 4,249.04	\$ 4,249.04		\$ 4,249.04	\$ -
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	#D5M0017938	Manufacturer Electrical Panel	28-Jan-2014	100%	\$ 270,800.00	\$ 270,000.00		\$ 256,500.00	\$ 256,500.00
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly)	#D5M0017938	Electrical contractor	1-May-2015	6%	\$ 2,758,729.43	\$ 173,188.65	\$ 55,886.42	\$ 173,188.65	\$ 173,188.65
LENNON CRANE (Non-DBE sub)	LENNON CRANE								
Penny Lee Trucking (LT to Lennon Crane)	#D2F2906632	Trucking	N/A	100%	\$ 2,996.50	\$ 2,996.50		\$ 2,996.50	\$ -
LONG PAINTING (Non-DBE sub)	LONG PAINTING								
Fryer Knowles (LT to Long Painting)	#D2F5010810	Painting	10-Sep-2015		\$ -				
MALCOLM (Non-DBE sub)	MALCOLM								
1 Alliance Geomatics (LT to Malcolm)	#D4M0022928	Onsite Survey Assistance	14-May-2014	100%	\$ 397,730.00	\$ 397,730.00		\$ 397,730.00	\$ 397,730.00

BC Traffic (LT to Malcolm)	#D4M0011474	Traffic Control	12-May-2014	100%	\$ 335,073.03	\$ 335,073.03		\$ 313,323.33	\$ 313,323.33
BESTCCLS INC (LT to Malcolm)	#D3M9319663	Survey, as-build services	20-Oct-12	100%	\$ 27,202.50	\$ 27,202.50		\$ 25,748.91	\$ 25,748.91
Mar-Vac / Marine Vacuum Service Inc. (LT to Malcolm)	#D1M0002341	Vac-truck services	10-Apr-2012	100%	\$ 178,836.06	\$178,836.06		\$169,894.26	\$ 169,894.26
Transcon (LT Malcolm)	#D4M1022071	Excavation	9-May-2014	100%	\$ 431,642.23	\$ 431,642.23		\$ 422,156.00	\$ 412,669.77
MAYES TESTING ENGINEERS, INC. (Non-DBE sub)	MAYES TESTING ENGINEERS, INC.								
Pacific Geo Engineering (LT to Mayes)	#D4M9620410	Soil Compaction Testing Services	4-Jan-2013	100%	\$ 45,617.00	\$ 45,617.00		\$ 45,617.00	\$ 45,617.00
MISSION GLASS (Non-DBE sub)	MISSION GLASS								
Quality Discount Supplies (LT Mission Glass)	#D3M0700021	Office Supplies	22-Dec-2015	100%	\$ 8,379.61	\$ 8,379.61		\$ 8,379.61	\$ 1,745.02
NCM (Non-DBE sub)	NCM								
OMA (LT to NCM)	#D4M2316494	Demo, Trucking Secants, Wall Openings and Cradle	25-Mar-2014	100%	\$ 193,452.50	\$ 193,452.50		\$ 193,452.50	\$ 76,421.25
JMR Trucking	#D3M6917511	Trucking							\$ -
Ponglia Trucking	#D4M0019913	Trucking							\$ 2,468.75
Tan Trucking	#D3M0020597	Trucking							\$ -
Mar-Vac / Marine Vacuum Service Inc. (LT to NCM)	#D1M0002341	Vac truck services	26-Jun-2014	100%	\$ 33,233.40	\$ 33,233.40		\$ 33,270.94	\$ 17,345.28
NORTHWEST ASPHALT (Non-DBE sub)	NW ASPHALT								
C&E Transport (LT to Northwest Asphalt)	#D3M0022750	Trucking	na	100%	\$ 5,168.00	\$ 5,168.00		\$ 5,168.00	\$ -
OMA (LT to Northwest Asphalt)	#D4M2316494	Trucking	26-Feb-2014	100%	\$ 58,236.25	\$ 58,236.25		\$ 55,324.43	\$ -
ProUSA (LT to Northwest Asphalt)	#D3M0022332	Trucking	24-Feb-2014	100%	\$ 11,576.41	\$ 11,576.41		\$ 11,576.41	\$ -
OTTO ROSENAU & ASSOCIATES, INC. (Non-DBE sub)	OTTO ROSENAU & ASSOCIATES, INC.								
AAR Testing Laboratories, Inc. (LT to Otto)	#D2F4708231	Outside Testing & Inspection	25-Oct-2011	100%	\$ 68,602.74	\$ 68,602.74	COMPLETE	\$ 68,602.74	\$ 68,602.74
PROFESSIONAL SERVICES INDUSTRY (PSI) (Non-DBE sub)	PROFESSIONAL SERVICES INDUSTRY (PSI)								
AAR Testing Laboratories, Inc. (LT to PSI)	#D2F4708231	Concrete testing and laboratory testing	19-Oct-2015	100%	\$ 5,456.25	\$ 5,456.25		\$ 5,456.25	\$ 5,456.25
Pacific Geo Engineering (LT to PSI)	#D4M9620410	Concrete testing and laboratory testing	4-Jan-2013	100%	\$ 29,532.50	\$ 29,532.50		\$ 29,532.50	\$ 29,532.50
SOLDATA - KPG (Non-DBE sub)	SOLDATA - KPG								



Saez Consulting Engineers Inc. (LT to KPG, 3rd tier under SolData)	#D5M0019808	Settlement Monitoring	1-Apr-2012	68%	\$ 1,375,102.53	\$ 938,472.07		\$ 856,053.89	\$ 856,053.89
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	#D4M2316494	Settlement Point Installations	6-Sep-2012	100%	\$ 124,850.00	\$ 124,850.00		\$ 124,850.00	\$ 91,541.00
SOLDATA - HART CROWSER (Non-DBE sub)	SOLDATA - HART CROWSER								
Novito Construction Inc. (LT to Hart Crowser, 3rd tier under SolData)	#D2F6917467	Traffic control/ flagging	11-Jun-2012	100%	\$ 228,052.53	\$ 228,052.53		\$ 228,052.53	\$ 228,052.53
SOLDATA - Holocene Drilling (Non-DBE sub)	SOLDATA - HOLOCENE DRILLING								
Yonemitsu Geological Services (LT to Holocene, 3rd tier under SolData)	#D4M0013447	Geological Engineering & Boring	8-Apr-2015	100%	\$ 49,577.44	\$ 49,577.44	\$ 16,727.90	\$ 49,577.44	\$ 49,577.44
US Electric (Non-DBE sub)	US ELECTRIC								
Mobile Electrical Distributors Inc. (LT to US Electric)	#D2F0017012	Electrical Supply	13-Feb-2013	100%	\$ 159,186.36	\$ 159,186.36		\$ 159,186.36	\$ 95,511.82
Sundancer Electric (LT to US Electric)	#D1M8522607	Electrical Support for Tunneling	14-Apr-2015	100%	\$ 1,299,170.91	\$ 1,299,170.91		\$ 1,185,413.61	\$ 1,185,413.61
Sundancer Electric (LT to US Electric)	#D1M8522607	Electrical Support for Tunneling	24-Jan-2016	100%	\$ 671,605.41	\$ 671,605.41	\$ 237,689.55	\$ 505,166.11	\$ 81,475.26
VECA (Non-DBE sub)	VECA								
Mobile Electrical Distributors Inc. (LT to VECA)	#D2F0017012	Electrical Supply		100%	\$ 9,803.15	\$ 9,803.15	COMPLETE	\$ 9,803.15	\$ 5,881.89
					\$ 111,573,793.48	\$ 100,113,407.00	\$ 1,711,882.37	\$ 98,547,422.78	\$ 76,634,591.47

PLEASE ANSWER THE FOLLOWING QUESTIONS BY STATING EITHER "YES" OR "NO":

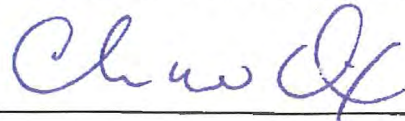
1) Have any of the DBE subcontractors been added or removed from the DBE monthly report period? If yes, fully explain the action.  
No.

2) Has the scope of work or the subcontract amount for any of the DBE subcontractors changed since the last report? If yes, fully explain the action.  
Yes. Please see the attached summary report for commitments as of 4/30/16.

3) Did any of the DBE subcontractors rent/lease equipment from the prime contractor or an affiliate company during the report period? If yes, explain the arrangement, including a description of the equipment and the cost.  
No.

4) Did any of the DBE subcontractors utilize employees of former employees of the prime contractor or an affiliate company during the report period? If yes, fully explain the arrangement  
No.

AUTHORIZED  
SIGNATURE:



TITLE: Project Manager

DATE: 5/31/2016



ATTACHMENT # 2  
DBE COMMITMENT VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#62 Invoiced to Date	#62 Commitment	#61 Commitment	#62 - #61 Commitment Change	REMARKS
FIRST TIER										
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,302,058.34	\$ 1,302,058.34	\$ 1,280,458.90	\$ 21,599.44	Subcontract Agreement thru CO #8 = \$1,379,545.69
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 292,093.66	\$ 292,093.66	\$ 284,253.82	\$ 7,839.84	Equals Invoiced to Date total; Revised Subconsultant Agreement through CO #013 = \$296,381.58 (NTE)
JMR Trucking (Added on #56)	Trucking Roster Rotation	V	22-Oct-2015			\$ 10,053.75	\$ 10,053.75	\$ 6,285.00	\$ 3,768.75	Subcontract Agreement thru CO #2= \$10,053.75
Mak's Dump Truck (Added on #57)	Trucking Roster Rotation	V	18-Nov-2015			\$ 11,952.50	\$ 11,952.50	\$ 6,507.50	\$ 5,445.00	Subcontract Agreement thru CO #2 = \$11,952.50
Marshbank	Sewer Relocation	S	7-Aug-2012	Active	100%	\$ 1,531,494.58	\$ 1,869,208.64	\$ 1,824,508.64	\$ 44,700.00	Equals Invoiced to Date total; Contract and Change Orders through CO#24 Total Revised Agreement Amount = \$1,869,208.54
Meeds Environmental (Added on #50)	Filter Press	V	2-Apr-2015	Active	100%	\$ 52,060.00	\$ 52,060.00	\$ 49,320.00	\$ 2,740.00	Equals Invoiced to Date total; Subcontract Agreement through CO #001 = \$93,598.40
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 446,376.89	\$ 446,376.89	\$ 428,212.38	\$ 18,164.51	Equals Invoiced to Date total; Subcontract Agreement through CO #001 = \$931,260.00
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,355,678.50	\$ 6,355,678.50	\$ 6,195,938.25	\$ 159,740.25	Equals Invoiced to Date total; Subcontract (#1977-01) through CO #007 = \$7,679,199.75
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 18,517,747.03	\$ 18,532,987.93	\$ 18,506,125.29	\$ 26,862.64	CO 012 (Subcontract #3127-01) Total Revised Agreement Amount Not To Exceed = \$18,532,987.93
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 964,799.81	\$ 964,799.81	\$ 942,925.06	\$ 21,874.75	Equals Invoiced to Date total; Contract and Change Orders in STP files (through #13) = \$1,291,150 (Total Not to Exceed - Unit Price Agreement)
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 426,434.50	\$ 426,434.50	\$ 404,776.50	\$ 21,658.00	Equals Invoiced to Date total; (PO No 2399-DB10) Agreement through CO #009 = \$506,146.12
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 924,832.49	\$ 924,832.49	\$ 883,285.74	\$ 41,546.75	Equals Invoiced to Date total; through CO #005 Total Revised Agreement Amount = \$884,170
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,048,178.50	\$ 1,048,178.50	\$ 1,003,553.50	\$ 44,625.00	Equals Invoiced to Date total; Subcontract Agreement through CO #003 = \$1,250,000.00
VENDORS										
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 432,742.06	\$ 432,742.06	\$ 430,110.56	\$ 2,631.50	Equals Invoiced to Date total; Purchase Order Agreement; Total PO's in STP files = \$539,675.54
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 67,581.96	\$ 67,581.96	\$ 65,753.23	\$ 1,828.73	Equals Invoiced to Date total; Purchase Order Agreement; Total PO's and CO's (through CO #002) = \$69,347.64
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 332,446.38	\$ 332,446.38	\$ 271,752.15	\$ 60,694.23	Equals Invoiced to Date total; Total of Purchase Orders in STP Files = \$383,823.12
United Reprographics (Added on #47)	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 11,402.07	\$ 11,402.07	\$ 10,832.78	\$ 569.29	Equals Invoiced to Date total; Total of Subcontract and Purchase Orders = \$27,375.00
LOWER TIER										
CROWN CORR (Non-DBE sub)										
Birch Equipment (LT to Crown Corr) (Added on #57)	Rental Equipment - Boom, Scissorlift	V	11/23/2015		0%	\$ 56,155.15	\$ 56,155.15	\$ 18,029.36	\$ 38,125.79	Purchase Order (thru CO #4) = \$67,000.00
MBI Construction Services (LT to Crown Corr) (Added #56)	Supply/Fabricate 88 Sheets	V	17-Sep-2015	Active	68%	\$ 44,793.00	\$ 44,793.00	\$ 31,400.80	\$ 13,392.20	Equals Invoiced to Date total; Total of Purchase Order thru CO #3 = \$39,421.80
Red Arrow Logistics (LT to Crown Corr) (Added #56)	Freight	V	1-Oct-2015	Active	24%	\$ 85,224.20	\$ 85,224.20	\$ 65,106.80	\$ 20,117.40	Purchase Order (thru CO #1) = \$91,824.00
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)										
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013	Active	100%	\$ 2,223,164.07	\$ 2,223,164.07	\$ 2,085,592.68	\$ 137,571.39	Equals Invoiced to Date total; Purchase Order #797; New Total Contract amount through CO #19 = \$5,058,702.65
Holocene Drilling Inc (Non-DBE sub)										
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 128,589.62	\$ 128,589.62	\$ 123,517.12	\$ 5,072.50	Equals Invoiced to Date total; Subcontract Agreement (v_1.3, CO #003) Total Subcontract (Not to Exceed) = \$150,000.00
JH KELLY (Non-DBE sub)										
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 541,200.50	\$ 990,000.00	\$ 550,000.00	\$ 440,000.00	Subcontract (thru CO #1) = \$990,000.00
JH KELLY - DESERT MECHANICAL (Non-DBE sub)										
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014		100%	\$ 244,589.50	\$ 250,383.81	\$ 207,297.74	\$ 43,086.07	Purchase Order (15615800-116168-OJ) Total Including Tax & Freight (thru CO #2) = \$250,383.81
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)										
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1533	S	1-Aug-2013	4/1/2015	100%	\$ 2,784,206.72	\$ 3,717,236.52	\$ 3,975,668.67	\$ (258,432.15)	Equals Invoiced to Date total; Subcontract #1533 through CO #13 = \$3,975,668.67
US Electric (Non-DBE sub) (Added on #50)										
Sundancer Electric (LT to US Electric) (Added on #59)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 671,605.41	\$ 671,605.41	\$ 436,511.94	\$ 235,093.47	Equals Invoiced to Date total; Subcontract Agreement (thru CO #2) = \$3,512,389.76
						\$ 100,113,407.00	\$ 111,573,793.48	\$ 110,413,478.13	\$ 1,160,315.35	



ATTACHMENT # 3  
DBE INVOICED TO DATE VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#62 Commitment	#62 Invoiced to Date	#61 Invoiced to Date	#62 - #61 Invoiced (for April)	Invoices (for April)	Verified work done prior to invoice date	REMARKS
FIRST TIER												
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,302,058.34	\$ 1,302,058.34	\$ 1,280,458.90	\$ 21,599.44	See Remarks	Yes	Invoice #'s 111:030516, 112:031216, 113:032116, 114:032516, 115:040216, 116:040916, 117:041616, 118:042316 in binder
Greylock Consulting LLC	Environmental Consulting Services	V	1-Oct-2011	Active	100%	\$ 292,093.66	\$ 292,093.66	\$ 284,253.82	\$ 7,839.84	See Remarks	Yes	Invoice #'s 53:022916, 54:033116 in binder
JMR Trucking (Added on #56)	Trucking Roster Rotation	V	22-Oct-2015			\$ 10,053.75	\$ 10,053.75	\$ 6,285.00	\$ 3,768.75	Inv #02:012016	Yes	Invoice in binder
Mak's Dump Truck (Added on #57)	Trucking Roster Rotation	V	18-Nov-2015			\$ 11,952.50	\$ 11,952.50	\$ 6,507.50	\$ 5,445.00	Inv #02:010516	Yes	Invoice in binder
Meeds Environmental (Added on #50)	Filter Press	V	2-Apr-2015	Active	100%	\$ 52,060.00	\$ 52,060.00	\$ 49,320.00	\$ 2,740.00	Inv #2378	Yes	Invoice in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 446,376.89	\$ 446,376.89	\$ 428,212.38	\$ 18,164.51	See Remarks	Yes	Invoice #'s 33590, 33589, 33613, 33615, 33614, 33611, 33626, 33625, 33437, 33635, 33634, 33646, 33645 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,355,678.50	\$ 6,355,678.50	\$ 6,195,938.25	\$ 159,740.25	See Remarks	Yes	Invoice #'s 2269, 2270, 2271, 2272 in binder
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 18,532,987.93	\$ 18,517,747.03	\$ 17,796,322.01	\$ 721,425.02	See Remarks	Yes	Invoice #'s 151 thru 175 in binder
Oneill Service Group	Environmental inspection & compliance, stormwater, scientist/documentation services	V	13-Feb-2012	Active	100%	\$ 964,799.81	\$ 964,799.81	\$ 942,925.06	\$ 21,874.75	Inv #3082	Yes	Invoice in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 426,434.50	\$ 426,434.50	\$ 404,776.50	\$ 21,658.00	See Remarks	Yes	Invoice #'s 2065, 2067 in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 924,832.49	\$ 924,832.49	\$ 883,285.74	\$ 41,546.75	Inv #3659-62	Yes	Invoice in binder
Savage Logistics, LLC	Freight	V	28-Mar-2013	Active	99%	\$ 1,048,178.50	\$ 1,048,178.50	\$ 1,003,553.50	\$ 44,625.00	See Remarks	Yes	Invoice #'s 80:031216, 81:032616, 82:040916, 83:042316 in binder
VENDORS												
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 432,742.06	\$ 432,742.06	\$ 430,110.56	\$ 2,631.50	Inv #12387512	Yes	Invoice in binder
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 67,581.96	\$ 67,581.96	\$ 65,753.23	\$ 1,828.73	See Remarks	Yes	Invoice #'s 78667, 78467, 78448R, 78904 in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 332,446.38	\$ 332,446.38	\$ 271,752.15	\$ 60,694.23	See Remarks	Yes	Invoice #'s 1603539, 1603480, 1603570, 1604122, 1604112, 1604158, 1604160, 1604609, 1604505, 1604504 in binder
United Reprographics (Added on #47)	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 11,402.07	\$ 11,402.07	\$ 10,832.78	\$ 569.29	See Remarks	Yes	Invoice #'s 9048131, 9048130, 9048226, 9048133, 948729A, 9048884, 9049598 in binder
LOWER TIER												
CROWN CORR (Non-DBE sub)												
Birch Equipment (LT to Crown Corr) (Added on #57)	Rental Equipment - Boom, Scissorlift	V	11/23/2015		0%	\$ 56,155.15	\$ 56,155.15	\$ 18,029.36	\$ 38,125.79	See Remarks	Yes	Invoice #'s 133484A-8, 134796-8, 134788-8, 135136-8, 134338A-8, 133484B-8, 134796A-8, 134788A-8, 135136A-8, 134338B-8, 138522-8, 133484C-8, 134788B-8, 134796B-8 in binder
MBI Construction Services (LT to Crown Corr) (Added #56)	Supply/Fabricate 88 Sheets	V	17-Sep-2015		68%	\$ 44,793.00	\$ 44,793.00	\$ 31,400.80	\$ 13,392.20	See Remarks	Yes	Invoice #'s 15-357, 15-389.2, 16-015, 16-061 in binder
Red Arrow Logistics (LT to Crown Corr) (Added #56)	Freight	V	1-Oct-2015		24%	\$ 85,224.20	\$ 85,224.20	\$ 65,106.80	\$ 20,117.40	See Remarks	Yes	Invoice #'s 135895, 135977, 136172 in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)												
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013	Active	100%	\$ 2,223,164.07	\$ 2,223,164.07	\$ 2,085,592.68	\$ 137,571.39	See Remarks	Yes	Invoice #'s 16-0797-004, 16-0797-005, 16-0797-006, 16-0797-007, 16-0797-009, CM-LP-1601, 16-0797-010, 16-0797-011, 16-0797-012, 16-0797-013, 16-0797-014, 16-0797-015, 16-0797-016 in binder
Holocene Drilling Inc (Non-DBE sub)												
Yonemitsu Geological Services	Field borehole logging, engineering, and geotechnical instrumentation monitoring.	V	20-Jul-2012	Active	100%	\$ 128,589.62	\$ 128,589.62	\$ 123,517.12	\$ 5,072.50	See Remarks	Yes	Invoice #16-2115-01 in binder
JH KELLY (Non-DBE sub)												
Scheduling and Information Services (LT to JH Kelly)	Project Controls and Scheduling	V	8-Apr-2013	12/29/2015	100%	\$ 990,000.00	\$ 541,200.50	\$ 528,290.50	\$ 12,910.00	Inv #417952	Yes	Invoice in binder
JH KELLY - DESERT MECHANICAL (Non-DBE sub)												
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014		100%	\$ 250,383.81	\$ 244,589.50	\$ 60,992.52	\$ 183,596.98	See Remarks	Yes	Invoice #'s 1160043, 316007, 416004 in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)												
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1533	S	1-Aug-2013	4/1/2015	100%	\$ 3,717,236.52	\$ 2,784,206.72	\$ 2,797,506.72	\$ (13,300.00)	See Remarks	N/A	Adjustment made from previously claimed Shinn Invoice #12276/Internal check #43205 between Shinn and JP Francis. JP Francis never received the money.
JH KELLY - FISK ELECTRIC (Non-DBE sub)												
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,295,186.19	\$ 5,009,592.34	\$ 4,991,286.14	\$ 18,306.20	See Remarks	Yes	Invoice #'s 66550, 66594, 66593, 66492 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #256256	R	8-Feb-2016			\$ 344.47	\$ 344.47	\$ -	\$ 344.47	See Remarks	Yes	Invoice #'s 65445, 65306 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #270234	R	25-Feb-2016			\$ 348,330.00	\$ 37,580.00	\$ -	\$ 37,580.00	Inv #66420	Yes	Invoice in binder
JH KELLY - SICE (Non-DBE sub)												
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	S	1-May-2015		100%	\$ 2,758,729.43	\$ 173,188.65	\$ 117,302.23	\$ 55,886.42	See Remarks	Yes	Invoice #'s 293614, 293615 in binder
US Electric (Non-DBE sub) (Added on #50)												
Sundancer Electric (LT to US Electric) (Added on #59)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 671,605.41	\$ 671,605.41	\$ 436,511.94	\$ 235,093.47	See Remarks	Yes	Invoice #'s 6894, 6896, 6903, 6907 in binder
						\$ 111,573,793.48	\$ 100,113,407.00	\$ 98,232,559.12	\$ 1,880,847.88			



ATTACHMENT # 4  
DBE TOTAL PAYMENTS VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#62 Total Payments this Report (April)	#62 Total Payments to Date	#61 Total Payments to Date	Compare Total Pymts to Date (#62 - #61)	Variance	REMARKS
FIRST TIER											
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 15,654.64	\$ 1,296,113.54	\$ 1,280,458.90	\$ 15,654.64	\$ (0.00)	Check #'s 13295, 13367, 13421 in binder
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 10,000.00	\$ 732,184.83	\$ 722,184.83	\$ 10,000.00	\$ -	Check #13305 in binder
Mak's Dump Truck (Added on #57)	Trucking Roster Rotation	V	18-Nov-2015			\$ 5,445.00	\$ 11,952.50	\$ 6,507.50	\$ 5,445.00	\$ -	Check #13371 in binder
Meeds Environmental (Added on #50)	Filter Press	V	2-Apr-2015	Active	100%	\$ 2,740.00	\$ 52,060.00	\$ 49,320.00	\$ 2,740.00	\$ -	Check #13351 in binder
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 8,145.88	\$ 436,358.24	\$ 428,212.38	\$ 8,145.86	\$ 0.02	Check #'s 13407, 13500 in binder
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 159,740.25	\$ 6,355,678.50	\$ 6,195,938.25	\$ 159,740.25	\$ -	Check #13420 in binder
OMA Construction Inc.	North & South Portal Hauling; Trucking hourly	V	25-Sep-2012	Active	100%	\$ 721,425.02	\$ 18,517,747.03	\$ 17,796,322.01	\$ 721,425.02	\$ -	Check # 13369 in binder
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 10,829.00	\$ 415,605.50	\$ 404,776.50	\$ 10,829.00	\$ -	Check #13472 in binder
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 27,990.75	\$ 911,276.49	\$ 883,285.74	\$ 27,990.75	\$ -	Check #13291 in binder
VENDORS											
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 2,631.50	\$ 432,742.06	\$ 430,110.56	\$ 2,631.50	\$ -	Check #13495 in binder
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 1,103.89	\$ 66,857.12	\$ 65,753.23	\$ 1,103.89	\$ -	Check #'s 13381, 13436 in binder
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 2,979.07	\$ 274,731.30	\$ 271,752.23	\$ 2,979.07	\$ (0.00)	Check #13386 in binder
United Reprographics (Added on #47)	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 156.24	\$ 10,989.02	\$ 10,832.78	\$ 156.24	\$ 0.00	Check #'s 13412, 13462 in binder
LOWER TIER											
CROWN CORR (Non-DBE sub)											
Birch Equipment (LT to Crown Corr) (Added on #57)	Rental Equipment - Boom, Scissorlift	V	11/23/2015		0%	\$ 14,815.23	\$ 47,744.44	\$ 12,461.68	\$ 35,282.76	\$ (20,467.53)	Check #'s 12866, 13051, 13188, 13305, 13691, 13896 in binder
MBI Construction Services (LT to Crown Corr) (Added #56)	Supply/Fabricate 88 Sheets	V	17-Sep-2015		68%	\$ 1,660.00	\$ 31,400.83	\$ 29,740.83	\$ 1,660.00	\$ -	Check #13730 in binder
Red Arrow Logistics (LT to Crown Corr) (Added #56)	Freight	V	1-Oct-2015		24%	\$ 6,572.50	\$ 85,224.23	\$ 58,534.33	\$ 26,689.90	\$ (20,117.40)	Check #'s 12618, 13237, 13482 in binder
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)											
Savage Logistics, LLC (LT to Lining Precast)	Transport precast concrete tunnel segments with specialized trailer equipment (PO 797)	V	23-Jul-2013		100%	\$ 137,571.39	\$ 2,223,164.07	\$ 2,085,592.68	\$ 137,571.39	\$ -	Wire Transfer Receipt in binder
HOS BROS. CONSTRUCTION (Non-DBE sub)											
ProUSA	Trucking	V	23-Nov-2013	Active	100%	\$ 29,379.00	\$ 129,364.00	\$ 99,985.00	\$ 29,379.00	\$ -	Check #116978 in binder
JH KELLY - DESERT MECHANICAL (Non-DBE sub)											
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014	Active	100%	\$ 44,119.87	\$ 105,112.39	\$ 60,992.52	\$ 44,119.87	\$ -	Check #381614 in binder
JH KELLY - DESERT MECHANICAL - SHINN MECHANICAL (Non-DBE sub)											
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1488	S	1-May-2013	4/1/2015	100%	\$ 119,293.66	\$ 596,767.64	\$ 477,473.98	\$ 119,293.66	\$ -	Check #43419 in binder
JP Francis & Associates (LT to Shinn, 4th tier under JH Kelly)	Mechanical #1533	S	1-Aug-2013	4/1/2015	100%	\$ 31,258.04	\$ 2,685,617.72	\$ 2,650,832.43	\$ 34,785.29	\$ (3,527.25)	Check #'s 43246, 43414 in binder
JH KELLY - FISK ELECTRIC (Non-DBE sub)											
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 1,159.94	\$ 5,682,575.00	\$ 5,681,415.06	\$ 1,159.94	\$ (0.00)	Check #52403 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 8,983.16	\$ 4,991,286.14	\$ 4,982,302.98	\$ 8,983.16	\$ 0.00	Check #51955 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #256256	R	8-Feb-2016			\$ 344.47	\$ 344.47	\$ -	\$ 344.47	\$ -	Check #51955 in binder
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #270234	R	25-Feb-2016			\$ 37,580.00	\$ 37,580.00	\$ -	\$ 37,580.00	\$ -	Check #42482 in binder
JH KELLY - SICE (Non-DBE sub)											
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	S	1-May-2015		100%	\$ 55,886.42	\$ 173,188.65	\$ 117,302.23	\$ 55,886.42	\$ -	Check #'s 3838, 3839 in binder
SOLDATA - Holocene Drilling (Non-DBE sub)											
Yonemitsu Geological (LT to Holocene, 3rd tier under SolData) (Added on #51)	Geological Engineering & Boring Logs	V	8-Apr-2015		100%	\$ 16,727.90	\$ 49,577.44	\$ 32,849.54	\$ 16,727.90	\$ -	Check #24253 in binder
US Electric (Non-DBE sub) (Added on #50)											
Sundancer Electric (LT to US Electric) (Added on #59)	Electrical Support for Tunneling Operations	R	24-Jan-2016		100%	\$ 237,689.55	\$ 505,166.11	\$ 165,986.10	\$ 339,180.01	\$ (101,490.46)	Check #'s 103197, 103203, 103205 in binder
						\$ 1,711,882.37	\$ 98,547,422.78	\$ 96,689,937.79	\$ 1,857,484.99	\$ (\$181.84)	



ATTACHMENT # 5  
DBE AMOUNT (AS AMENDED) VALIDATION

Name of DBE Subcontractor * (LT = lower tier)	Description of Work Performed	Project Role S = Subcontractor M = Manufacturer J = Joint Venture R = Regular Dealer V = Service Provider	Subcontract Start Date	Subcontract End Date	% of work completed	#62 Commitment	#62 Invoiced to Date	#62 Total Payments this Report (April)	#62 Total Payments to Date	#62 DBE Amount (as Amended)	#61 DBE Amount (as Amended)	#62 - #61 DBE Amount (as Amended)	(X:X) Pymt to Date <=#62 DBE Amt	REMARKS
FIRST TIER														
DHD Trucking (aAdded on #57)	Trucking Roster Rotation	V	9-Nov-2015	Active	100%	\$ 10,827.50	\$ 10,827.50		\$ 10,827.50	\$ 10,827.50	\$ 9,397.50	\$ 1,430.00	Yes	
DHD Trucking	Street Sweeping	S	10-Jan-2012		100%	\$ 1,302,058.34	\$ 1,302,058.34	\$ 15,654.64	\$ 1,296,113.54	\$ 1,296,113.54	\$ 1,280,458.90	\$ 15,654.64	Yes	
Garner Construction (Added on #42)	24/7 Crane Rental w/ Operator for Access Shaft Excavation	S	29-Aug-2014	Active	100%	\$ 732,184.83	\$ 732,184.83	\$ 10,000.00	\$ 732,184.83	\$ 732,184.83	\$ 722,184.83	\$ 10,000.00	Yes	
Mak's Dump Truck (Added on #57)	Trucking Roster Rotation	V	18-Nov-2015			\$ 11,952.50	\$ 11,952.50	\$ 5,445.00	\$ 11,952.50	\$ 11,952.50	\$ 6,507.50	\$ 5,445.00	Yes	
MindSeekers, LLC	Temporary Staffing	V	27-Feb-2013	Active	99%	\$ 446,376.89	\$ 446,376.89	\$ 8,145.88	\$ 436,358.24	\$ 436,358.24	\$ 428,212.38	\$ 8,145.86	Yes	
Oatridge Security Group Inc	Security Guard Services	V	10-Oct-2011	Active	100%	\$ 6,355,678.50	\$ 6,355,678.50	\$ 159,740.25	\$ 6,355,678.50	\$ 6,342,184.50	\$ 6,182,444.25	\$ 159,740.25	Yes	
Osiris Enterprises LLC	Janitorial Services	V	12-Jan-2012	Active	100%	\$ 426,434.50	\$ 426,434.50	\$ 10,829.00	\$ 415,605.50	\$ 226,947.96	\$ 220,991.96	\$ 5,956.00	Yes	
Rose Super Clean and Park Management (LT to Osiris)	Janitorial Services	V	6-Apr-2012	Active	100%					\$ 188,657.54	\$ 178,911.54	\$ 9,746.00		
PRR, Inc.	Subconsultant - Public Information	V	22-Feb-2011	Active	100%	\$ 924,832.49	\$ 924,832.49	\$ 27,990.75	\$ 911,276.49	\$ 904,880.81	\$ 876,890.06	\$ 27,990.75	Yes	
VENDORS														
Birch Equipment Rental & Sales, Inc.	Equipment: Blast Pots, Light Tower, Generator, Air Comp,	R	NA	Active	90%	\$ 432,742.06	\$ 432,742.06	\$ 2,631.50	\$ 432,742.06	\$ 259,645.24	\$ 258,066.34	\$ 1,578.90	Yes	
Excel Supply Company	Safety Supplies, Janitorial supplies, jackets & hats	R	NA	Active	100%	\$ 67,581.96	\$ 67,581.96	\$ 1,103.89	\$ 66,857.12	\$ 39,923.83	\$ 39,261.50	\$ 662.33	Yes	
Highway Specialties LLC	Construction Signage, Traffic Drums, lights, safety, TMAs	R	NA	Active	99%	\$ 332,446.38	\$ 332,446.38	\$ 2,979.07	\$ 274,731.30	\$ 164,838.78	\$ 163,051.34	\$ 1,787.44	Yes	
United Reprographics (Added on #47)	Business cards & printing services	V	12-Jan-2015	Active	93%	\$ 11,402.07	\$ 11,402.07	\$ 156.24	\$ 10,989.02	\$ 10,989.02	\$ 10,832.78	\$ 156.24	Yes	
LOWER TIER														
CROWN CORR (Non-DBE sub)														
Birch Equipment (LT to Crown Corr) (Added on #57)	Rental Equipment - Boom, Scissorlift	V	11/23/2015		0%	\$ 56,155.15	\$ 56,155.15	\$ 14,815.23	\$ 47,744.44	\$ 28,646.66	\$ 7,477.01	\$ 21,169.65	Yes	
MBI Construction Services (LT to Crown Corr) (Added #56)	Supply/Fabricate 88 Sheets	V	17-Sep-2015		68%	\$ 44,793.00	\$ 44,793.00	\$ 1,660.00	\$ 31,400.83	\$ 31,400.83	\$ 29,740.83	\$ 1,660.00	Yes	
Red Arrow Logistics (LT to Crown Corr) (Added #56)	Freight	V	1-Oct-2015		24%	\$ 85,224.20	\$ 85,224.20	\$ 6,572.50	\$ 85,224.23	\$ 15,340.36	\$ 10,536.18	\$ 4,804.18	Yes	
FPS ENCON PRECAST, LLC now Lining Precast (Non-DBE sub)														
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Pick up trailer & insurance monthly payment (PO 1279)	V	20-Nov-2013		100%	\$ 17,464.78	\$ 17,464.78		\$ 17,464.78	\$ 15,967.28	\$ -	\$ 15,967.28	Yes	
Savage Logistics, LLC (LT to Lining Precast) (added for #37)	Rental of 2 diesel heaters & delivery (PO 1335)	V	5-Dec-2013		100%	\$ 2,143.97	\$ 2,143.97		\$ 2,143.97	\$ 844.86	\$ -	\$ 844.86	Yes	
HOS BROS. CONSTRUCTION (Non-DBE sub)														
ProUSA	Trucking	V	23-Nov-2013	Active	100%	\$ 129,364.00	\$ 129,364.00	\$ 29,379.00	\$ 129,364.00	\$ 29,379.00	\$ -	\$ 29,379.00	Yes	
JH KELLY - DESERT MECHANICAL (Non-DBE sub)														
Wayron (LT to Desert Mechanical, 3rd tier under JH Kelly) (Added on #43)	Fabricating Transition Ductwork of Tunnel	M	19-Sep-2014	Active	100%	\$ 250,383.81	\$ 244,589.50	\$ 44,119.87	\$ 105,112.39	\$ 105,112.39	\$ 60,992.52	\$ 44,119.87	Yes	
JH KELLY - FISK ELECTRIC (Non-DBE sub)														
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0444A	R	20-Apr-2013		100%	\$ 5,700,708.55	\$ 5,682,575.00	\$ 1,159.94	\$ 5,682,575.00	\$ 3,409,545.00	\$ 3,408,849.04	\$ 695.96	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly)	Electrical Supply #0572A	R	10-Jul-2013		100%	\$ 5,295,186.19	\$ 5,009,592.34	\$ 8,983.16	\$ 4,991,286.14	\$ 2,994,771.68	\$ 2,989,381.79	\$ 5,389.89	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #256256	R	8-Feb-2016			\$ 344.47	\$ 344.47	\$ 344.47	\$ 344.47	\$ 182.68	\$ -	\$ 182.68	Yes	
Mobile Electrical Distributors Inc. (LT to FISK, 3rd tier under JH Kelly) (added on #60)	Electrical Supply #270234	R	25-Feb-2016			\$ 348,330.00	\$ 37,580.00	\$ 37,580.00	\$ 37,580.00	\$ 22,548.00	\$ -	\$ 22,548.00	Yes	
JH KELLY - SICE (Non-DBE sub)														
Neppel Electrical (LT to SICE, 3rd tier under JH Kelly) (Added on #52)	Electrical contractor	S	1-May-2015		100%	\$ 2,758,729.43	\$ 173,188.65	\$ 55,886.42	\$ 173,188.65	\$ 173,188.65	\$ 117,302.23	\$ 55,886.42	Yes	
SOLDATA - KPG (Non-DBE sub)														
OMA Construction Inc. (LT to KPG, 3rd tier under SolData)	Settlement Point Installations	S	6-Sep-2012	Active	100%	\$ 124,850.00	\$ 124,850.00		\$ 124,850.00	\$ 91,541.00	\$ 60,280.00	\$ 31,261.00	Yes	
SOLDATA - Holocene Drilling (Non-DBE sub)														
Yonemitsu Geological (LT to Holocene, 3rd tier under SolData) (Added on #51)	Geological Engineering & Boring Logs	V	8-Apr-2015		100%	\$ 49,577.44	\$ 49,577.44	\$ 16,727.90	\$ 49,577.44	\$ 49,577.44	\$ 32,849.54	\$ 16,727.90	Yes	
						\$ 111,573,793.48	\$ 100,113,407.00	\$ 1,711,882.37	\$ 98,547,422.78	\$ 76,634,591.47	\$ 76,135,661.36	\$ 498,930.11		



	SR 99	Bored Tunnel Alternative DB						
	STP	Fed Employer I.D. #27-3509698						ATTACHMENT #6
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
V	603160662	Ball & Son Excavation LLC	1/3/2013	N/A - Firm is still active	N/A	None	OMA	Became DBE 2/4/16
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	3/3/2016	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Sealants & Expansion Joints
R	578062075	Mobile Electric Distributors Inc. - 279119	3/30/2016	N/A - Firm is still active	N/A	None	JH Kelly	
S	601644136	LK Media	2/1/2016	N/A - Firm is still active	N/A	None	CBE Strategic	
R	578062075	Mobile Electrical Distributors Inc. - 256256	2/8/2016	N/A - Firm is still active	N/A	None	JH Kelly	
R	578062075	Mobile Electrical Distributors Inc. - 270234	2/25/2016	N/A - Firm is still active	N/A	None	JH Kelly	
S	603190024	Sundancer Electric	1/18/2016	N/A - Firm is still active	Active	N/A - Firm is still active	US Electric	Contract 2
V	602081190	Trinity Development Corp (TLC)	12/11/2015	N/A - Firm is still active	N/A	None	STP	Trucking Roster
V	603110800	Ideal Commercial Uniforms	1/4/2015	1/4/2015	N/A	None	STP	purchase order
V	600410718	Quality Discount Supplies & Services	1/22/2015	1/22/2015	N/A	None	Mission Glass	purchase order
V	602408510	C&E Transport	3/21/2013	3/27/2013		None	NW Asphalt	
R	578062075	Mobile Electric Distributors Inc. - 248451	1/6/2016	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
V	602681276	Buckley's Hauling	12/11/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	602677969	DHD Trucking LLC	12/11/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	602896473	Michaelis Trucking	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	602671980	Mak's Dump Trucking	11/18/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	602492304	Ponglia Trucking Inc	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	601872128	OMA Construction	10/23/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	602619945	Road Runner Transportation	11/30/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
V	603358386	Tan Trucking	11/6/2015	N/A - Firm is still active	Active	None	STP	Trucking Roster
R	601361936	Birch Equipment Rental and Sales	11/23/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr	
S	603260116	1 Alliance Geomatics	5/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
V	602288380	3D Infusion	4/23/2012	6/11/2012	6/8/2012	1/14/2013	HNTB	
V	601202429	AAR Testing Laboratories Inc.	11/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	PSI	
V	601202429	AAR Testing Laboratories Inc.	12/1/2012	2/1/2013	3/1/2013	None	STP	
V	601202429	AAR Testing Laboratories Inc.	4/1/2012	10/31/2012	11/1/2012	None	FD Thomas	
V	601202429	AAR Testing Laboratories Inc.	10/25/2011	2/28/2013	2/28/2013	None	Otto Rosneau & Assoc.	
S	601443349	Adept Mechanical	9/1/2012	1/30/2013	NA	None	ST Fabrication	
S	601997791	Advanced Underground Utilities	10/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601552304	AM Test, Inc	2/19/2015	2/21/2015	10/23/2015	None	OnSite Environmental (3rd Tier to Greylock)	
S	600089108	Amaya Electric (CCIS-see Marles Corp)	8/2/2013	10/8/2013	2/3/2014	3/14/2014	STP	Accounting received final release 2/3/14
S	602178781	BC Traffic	5/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	601554695	Bear Wood Windows		N/A	N/A	N/A	STP	Work won't start until 2015
V	601314208	Berona Engineers Inc	4/5/2012	11/28/2012	11/16/2012	1/14/2013	HNTB	
S	602978139	BEST CCLS Inc	1/10/2012	12/31/2012	10/9/2013	10/16/2013	STP	Final payment docs recv'd from sub on 9/20/13, Final EEO documents received 10/9/13
S	602978139	BEST CCLS Inc	3/26/2012	8/30/2013	2/15/2015		Malcolm	Contract #1 - Closed
R	601361936	Birch Equipment Rental and Sales	2/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601512071	Bolima Drafting & Design Inc	8/18/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	602408510	C & E Transport	10/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602408510	C & E Transport	4/25/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602919268	C2PM, Inc.	9/1/2011	N/I	N/I	None	STP	No Retention Held
R	600237496	Cascade Concrete Products	9/1/2013	N/A - Firm is still active	5/7/2015	None	FD Thomas	
V	602365682	Casseday Consulting Inc.	7/29/2011	12/30/2011	12/30/2011	1/14/2013	HNTB	
V	601663491	Catherine Bassetti	3/5/2012	N/A - Firm is still active	Active	None	STP	
V	602542891	Chudgar Engineering Company	9/20/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
V	602384747	Civil Tech Engineering Inc	7/31/2012	N/A - Firm is still active	Active	None	STP	
V	602384747	Civil Tech Engineering Inc	9/1/2011	8/14/2013	8/14/2013	None	FCCC	
V	602399218	Convergent Pacific LLC	10/28/2011	9/27/2013	9/24/2013	1/14/2013	HNTB	
V	601518940	D K A Architecture	8/5/2011	9/6/2012	9/6/2012	1/14/2013	HNTB	
S	602953513	DBE Electric, Inc.	2/27/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602953513	DBE Electric, Inc.	11/28/2011	4/25/2012	9/12/2012	10/12/2012	FCCC	
S	602677969	DHD Trucking LLC	2/1/2012	N/A - Firm is still active	Active	None	STP	
S	602258601	Duncan Asphalt	5/13/2014	5/15/2014			STP	Retainage has not been released
V	602739282	Earth Mechanics, Inc.	5/1/2011	4/6/2012	4/2/2012	1/14/2013	HNTB	
S	601441115	Emerald City Weatherproofers	11/18/2012	1/3/2013	NA	None	ST Fabrication	
V	602576083	EMT LLC (environmental training)	1/3/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602067564	EPC Consultants Inc	7/3/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	



	SR 99	Bored Tunnel Alternative DB						
	STP	Fed Employer I.D. #27-3509698						
<b>SUB TYPE:</b> S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
R	601781913	Excel Supply Co	1/13/2015	N/A - Firm is still active	Active	None	STP	Jackets & hats
R	601781913	Excel Supply Co	5/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	safety supplies
V	601266522	Exeltech Consulting, Inc	8/4/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	578021472	Fryer-Knowles Inc	11/22/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Flooring in operation buildings. Work start 9/2014
S	578021472	Fryer-Knowles Inc	10/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Long Painting	CUF issue - Long will issue 2nd contract, carving out work for Fryer to complete a CUF
S	601404405	Garner Construction Inc	9/8/2014	2/2/2015	2/15/2015	None	STP	
S	601363240	Gibson Door & Millwork	10/15/2012	1/25/2013	NA	None	ST Fabrication	
S	603081735	Global Concrete Cutting Inc	6/19/2012	8/18/2012	11/7/2013	see notes	Leajak	pending resolution of litigation
S	603081735	Global Concrete Cutting Inc	9/30/2013	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/21/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602504657	Global Geophysics	5/1/2011	6/21/2011	NA	None	Hart Crowser Inc	Hart Crowser stated that Global Geophysics is a professional service provider and do not provide certified payrolls
S	602748267	Grady Excavating Inc.	11/29/2011	6/30/2013	7/8/2013	7/12/2013	FCCC	
S	602748267	Grady Excavating Inc.	3/1/2012	9/18/2013	9/24/2013	9/30/2013	STP	
S	601196371	Green City Inc	1/1/2017	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602560706	Greylock Consulting LLC	10/1/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	602556355	Highway Specialties	8/26/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602461020	Integrated Design Engineering	1/6/2012	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601322160	J. Harper Contractors Inc.	11/7/2011	3/30/2012	6/1/2012	6/26/2012	STP	
V	601587500	J. Keiser & Associates	4/30/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602101301	JMR Trucking Inc	12/15/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602101301	JMR Trucking Inc	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
S	602101301	JMR Trucking Inc	10/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	601919250	JP Francis & Assoc	7/17/2013	4/9/2014	Active	N/A - Firm is still active	STP	
S	601919250	JP Francis & Assoc - 1488	3/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical	
S	601919250	JP Francis & Assoc - 1533	9/5/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Shinn Mechanical	
S	601919250	JP Francis & Assoc (plumbing)	4/10/2013	N/A - Firm is still active	NA	None	BASF	2/28/15 - pending firm's response
V	601426427	KBA	12/5/2012	N/A - Firm is still active	Active	None	STP	
S	602460885	Kiawa Trucking	6/29/2012	7/4/2012	9/26/2013	see notes	Leajak	pending resolution of litigation
S	601573914	Leajak Concrete Construction Inc	7/1/2012	9/22/2012	10/10/2013	see notes	Lorache CAD/IT Services	pending resolution of litigation
S	601573914	Leajak Concrete Construction Inc	6/23/2012	10/29/2012			STP	*Pending sub docs
S	602568958	Lorache CAD-IT Services LLC	6/18/2012				STP	*Pending sub docs
S	602210303	Magnum Crane LLC	12/17/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602671980	Mak's Trucking LLC	11/14/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	4/10/2012	7/20/2012	2/15/2015		Malcolm	Contract #1 - Closed
S	600412278	Marine Vacuum Service Inc (Mar-Vac)	1/7/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group	
S	600412278	Marine Vacuum Service Inc (Mar-Vac) - Tank Removal	10/24/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601649423	Marshbank Const.	8/1/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	603190297	MBI Construction	9/17/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr	
V	603001744	Meeds Environmental		N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602037833	Mindseekers	2/27/2013	N/A - Firm is still active	Active	None	STP	
R	578062075	Mobile Electric Distributors Inc.					VECA	
R	578062075	Mobile Electric Distributors Inc.	11/25/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
R	578062075	Mobile Electric Distributors Inc. - 0185	4/1/2012	N/A - Firm is still active	4/1/2012	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0444	4/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0572	6/1/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0632	4/1/2013	N/A - Firm is still active	4/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0673	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0680	5/1/2013	N/A - Firm is still active	5/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 0720	6/1/2013	N/A - Firm is still active	6/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 118390	9/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 29250	10/1/2013	N/A - Firm is still active	10/1/2013	None	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 31840	11/1/2013	N/A - Firm is still active	12/11/2013	None	Fisk	Last payment received on 12/11/13 - this PO was a double payment/issue and was reimbursed under PO 444 Inv. #184
R	578062075	Mobile Electric Distributors Inc. - 32814	6/14/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Fisk	
R	578062075	Mobile Electric Distributors Inc. - 45436	1/1/2014	N/A - Firm is still active	1/1/2014	None	Fisk	



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R	578062075	Mobile Electric Distributors Inc. - 7747	8/6/2013	N/A - Firm is still active	12/24/2013	None	Fisk	
R	578062075	Mobile Electrical Distributors	10/20/2014	1/22/2014	5/6/2015	None	US Electric	USE 1st Contract
S	602120171	Neppel Electric	9/1/2014	1/30/2015	Active	N/A - Firm is still active	STP	
S	602120171	Neppel Electric	3/15/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
S	602120171	Neppel Electric	5/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
V	603140359	Nolan Morrison Consulting	9/19/2011	N/I	N/I	None	STP	No Retention Held
S	601881866	Novito Construction Inc	4/1/2012	10/16/2013	12/23/2013	None	Hart Crowser Inc	
V	601643525	NVL Labs	11/10/2011	N/A - Firm is still active	Active	None	AMEC	Firm was decertified 4/25/14
S	602316638	Oatridge Security Group	10/10/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601872128	OMA Construction	7/29/2013	11/15/2013	9/1/2014	9/10/2014	KPG Inc	8/2014 - KPG will likely close out OMA's contract in the next month or two as it doesn't look like they will need them for any more installations. This will be confirmed with Phil Smith. 50% of retainage will be released when KPG receives retainage from Soldata at end of tunneling and the other 50% as per the prime agreement.
S	601872128	OMA Construction	3/1/2016	N/A - Firm is still active	Active	N/A - Firm is still active	NW Asphalt	
S	601872128	OMA Construction - Drainage (#1130)	10/1/2012	N/A - Firm is still active	Active	None	Desert Plumbing & Heating Co Inc	
S	601872128	OMA Construction - Rubble	2/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	NCM Group	
S	601872128	OMA Construction - Trucking_North Portal	10/1/2012	N/A - Firm is still active	Active	None	STP	
S	601872128	OMA Construction - Trucking_South Portal	10/1/2012	N/A - Firm is still active	Active	None	STP	
S	601872128	OMA Construction - Utility Deposition	2/1/2013	N/A - Firm is still active	Active	None	STP	
V	602896811	O'Neill Environmental Services LLC	5/11/2015	N/A - Firm is still active	Active	N/A - Firm is still active	HNTB	
V	602896811	O'Neill Environmental Services LLC	2/13/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601378055	On-Site Environmental Inc	12/14/2011	N/A - Firm is still active	Active	N/A - Firm is still active	Greylock	
V	602446858	Osborn Consulting Incorporated	5/1/2011	11/1/2012	11/1/2012	1/14/2013	HNTB	
V	602446858	Osborn Pacific Group	3/5/2012	8/6/2012	8/6/2012	1/14/2013	HNTB	
V	602884742	Osiris Enterprises LLC	1/12/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602326408	Pacific Geo Engineering (PGE)	4/1/2013	Active	Active	N/A - Firm is still active	Professional Services Industry (PSI)	
V	602326408	Pacific Geo Engineering (PGE)	1/4/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Mayes Testing	
S	601083375	Penny Lee Trucking	1/1/2013	1/30/2013	8/31/2015	None	Lennon Crane	
S	602492304	Ponglia Trucking Inc	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
S	602492304	Ponglia Trucking Inc	11/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
V	603140884	Pro USA LLC	12/15/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	603140884	Pro USA LLC	7/23/2012	10/5/2012	10/18/2012	None	Grady	
S	603140884	Pro USA LLC	11/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	HOS	
S	603140884	Pro USA LLC	5/19/2014	8/30/2014	7/1/2014	None	Marshbank	
S	603140884	Pro USA LLC	1/14/2014	3/11/2014	3/11/2014	5/23/2014	NW Asphalt	
V	600428960	PRR Inc.	2/22/2011	N/A - Firm is still active	Active	None	STP	
S	602643779	Puget Sound Utilities	7/1/2013	8/18/2013	2/3/2014	None	OMA	Decertified 4/1/14
R	600410718	Quality Discount Supplies & Services	10/19/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	601999311	Quality Woodworking	6/2/2015	Work has not started	Work has not started	Work has not started	STP	
S	600481702	Rebar International	4/10/2012	2/21/2013	4/16/2013	3/15/2013	FCCC	
S	600481702	Rebar International	8/22/2013	10/5/2013	10/13/2013	None	Harris Rebar	
V	602333185	Red Arrow Logistics	10/1/2015	N/A - Firm is still active	Active	N/A - Firm is still active	Crown Corr	
V	602843104	Rose Super Clean & Park Management	4/6/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Osiris	
V	602628354	SAEZ Consulting	5/1/2011	N/A - Firm is still active	Active	1/14/13 & 3/12/14	HNTB	
V	602628354	SAEZ Consulting	4/30/2012	N/A - Firm is still active	Active	N/A - Firm is still active	KPG Inc	
V	602628354	SAEZ Consulting	8/31/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	602682121	Savage Logistics LLC	6/17/2013	N/A - Firm is still active	Active	N/A - Firm is still active	KTI	
S	602682121	Savage Logistics LLC	2/15/2013	N/A - Firm is still active	Active	None	STP	
S	602682121	Savage Logistics LLC - PO #1279	11/20/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #1335	12/5/2013	N/A - Firm is still active	1/31/2014	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #778	6/25/2013	N/A - Firm is still active	9/7/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #797	7/23/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
S	602682121	Savage Logistics LLC - PO #804	6/7/2013	N/A - Firm is still active	7/27/2013	N/A - Firm is still active	Lining Precast LLC (FPS Encon)	
V	602378706	Scheduling and Information Services Inc	4/8/2013	N/A - Firm is still active	Active	N/A - Firm is still active	JH Kelley	
V	602450813	Schemata Workshop	9/8/2014	N/A - Firm is still active	Active	Active	HNTB	Firm is active again per HNTB email 10/11/14
V	602450813	Schemata Workshop	4/16/2012	7/9/2013	11/29/2012	1/14/2013	HNTB	
S	601735583	Sea-Tac Sweeping Service	11/29/2011		3/15/2012	None	STP	pending affidavit
S	602651177	SSB Trucking & Construction	3/6/2013	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
S	602216144	ST Fabrication - Crane Maint.	6/5/2012	2/28/2014	2/28/2014	9/5/2014	STP	



	SR 99	Bored Tunnel Alternative DB						
	STP	Fed Employer I.D. #27-3509698						
SUB TYPE: S=Subcontractor M=Manufacturer- NOT Public Works Unique V=Service/ Professional Service/Engineering R=Supplier/Dealer/ Materialmen	UBI	Contractor / Subcontractor	Estimated Contract Start Date	Date of Work Completion: *When actual physical work was completed.	Date of Last Submission	Retainage Released Date: *None - Firm had no retainage held *N/I - No information available	Hiring Contractor	Notes
R/M	602216144	ST Fabrication - Purchase Orders	6/19/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602216144	ST Fabrication - Reefer Racks	5/30/2012	2/28/2014	2/28/2014	9/5/2014	STP	\$10K held until documents are reviewed and corrected
S	603064398	Steelkorr	4/1/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	603190024	Sundancer Electric	4/13/2015	N/A - Firm is still active	Active	N/A - Firm is still active	US Electric	USE 2nd Contract
S	454778504	Sundancer Electric	5/13/2014	7/15/2014	NA	None	Marshbank	
S	454778504	Sundancer Electric	2/6/2013	N/A - Firm is still active	Active	None	STP	
S	454778504	Sundancer Electric	1/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Sice	
S	602785735	Sunset Welding	7/5/2012	8/27/2012	1/15/2013	see notes	Leajak	pending resolution of litigation
S	602671547	Tan Trucking	4/9/2014	4/14/2015	Active	None	OMA (3rd tier to NCM Group)	
S	602671547	Tan Trucking	11/28/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
V	601191630	Teknograf		N/A - Firm is still active	Active	N/A - Firm is still active	Yonemitsu Geological	Requested Contract
R	601734719	The Bag Lady Inc	11/11/2011	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
S	603056508	Transcon Company LLC	1/9/2012	12/31/2012	1/4/2013	3/15/2013	FCCC	
S	603056507	Transcon Company LLC	12/1/2011	N/A - Firm is still active	2/11/2013	None	Fisk	
S	603056508	Transcon Company LLC	5/9/2014	N/A - Firm is still active	Active	N/A - Firm is still active	Malcolm	Contract #2
S	603056507	Transcon Company LLC	12/9/2013	N/A - Firm is still active	Active	None	STP	
S	602081190	Trinity Development Corp (TLC)	10/15/2012	N/A - Firm is still active	Active	N/A - Firm is still active	OMA	
V	601767647	TripleNet Technologies Inc	1/9/2012	N/I	N/I	None	STP	No Retention Held
S	601443883	United Professional Caulking & Restoration Inc (Unipro)	11/3/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	Harrison Street Expansion Joints
V	601965843	United Reprographics	1/12/2015	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	602621504	Wakerobin LLC	9/26/2011	N/A - Firm is still active	Active	1/14/2013	HNTB	
S	601398735	Washington State Trucking	8/12/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602240125	Wayron LLC	1/9/2012	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
M	602240125	Wayron LLC #116168		N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc	
M	602240125	Wayron LLC #12-09-1000-009	2/13/2013	N/A - Firm is still active	Active	N/A - Firm is still active	Desert Plumbing & Heating Co Inc	
V	600279746	West Coast Printing	4/5/2011	11/12/2014	9/9/2014	None	STP	Firm closed business 11/2014
V	601609012	Yonemitsu Geological Services		N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling (3rd Tier SolData)	
V	601609012	Yonemitsu Geological Services	7/8/2011	9/12/2011	8/25/2011	1/14/2013	HNTB	
V	601609012	Yonemitsu Geological Services	12/5/2014	N/A - Firm is still active	Active	N/A - Firm is still active	STP	
V	601609011	Yonemitsu Geological Services	7/20/2012	N/A - Firm is still active	Active	N/A - Firm is still active	Holocene Drilling	
Chart Key:								
Pending information								
Added this month								



Attachment 7: SR 99 Tunnel Design Build Contract- DBE Certified Payroll Hours Verification, April 2016

Contractor/Subcontractor	Prime Contract/ Upper Tier	Number of JMN	Number of APPR	Craft/Trade	Journeyman Hours Worked	Apprentice Hours Worked	STP's Total Hours Worked	Journeyman Totals from Certified Payroll	Apprentice Hours from Certified Payroll	WSDOT Verif. of Total Hours Worked	Difference (Delta)	Month Year	Comments
DHD Trucking	STP	3	0	Street Sweeper	36.5	0	36.5	36.5	0	36.5	0	Apr-16	
DHD Trucking	STP	1	0	Street Sweeper (Owner Operator)	42.5	0	42.5	42.5	0	42.5	0	Apr-16	
Marshbank	STP	1	0	Dump Truck & Trailer	3	0	3	3	0	3	0	Apr-16	
OMA - Job 1133 South Portal	STP	25	0	Truck Driver	475	0	475	210.25	0	210.25	-264.75	Apr-16	Note: WSDOT verified hours for April excludes 213 Hrs worked on 5/1/2016 that are included in OMA's reported hours
Savage Logistics	STP	2	0	Truck Driver	201.75	0	201.75	170.25	0	170.25	-31.5	Apr-16	WSDOT verification calendar month of April only.
Savage Logistics	KTI/B ASF	2	0	Truck Driver	6.75	0	6.75	0	0	0	-6.75	Apr-16	No Certified Payroll submittals.
Savage Logistics	Lining Precast-FPS Encon	6	0	Truck Driver	752.5	0	752.5	752.5	0	752.5	0	Apr-16	
SteelKorr	STP	3	2	Ironworker	256	186	442	224	186	410	-32	Apr-16	WSDOT verification calendar month of April only.
Sundancer Electric	US Electric	7	2	Electrician	1617	315.5	1932.5	1617	351.5	1968.5	36	Apr-16	WSDOT verification calendar month of April only.
Transcon	STP	2	0	Electrician	48	0	48	48	0	48	0	Apr-16	
Transcon	STP	1	0	Operator	24	0	24	24	0	24	0	Apr-16	
TOTALS		53	4		3463	501.5	3964.5	3056	537.5	3593.5	-299		



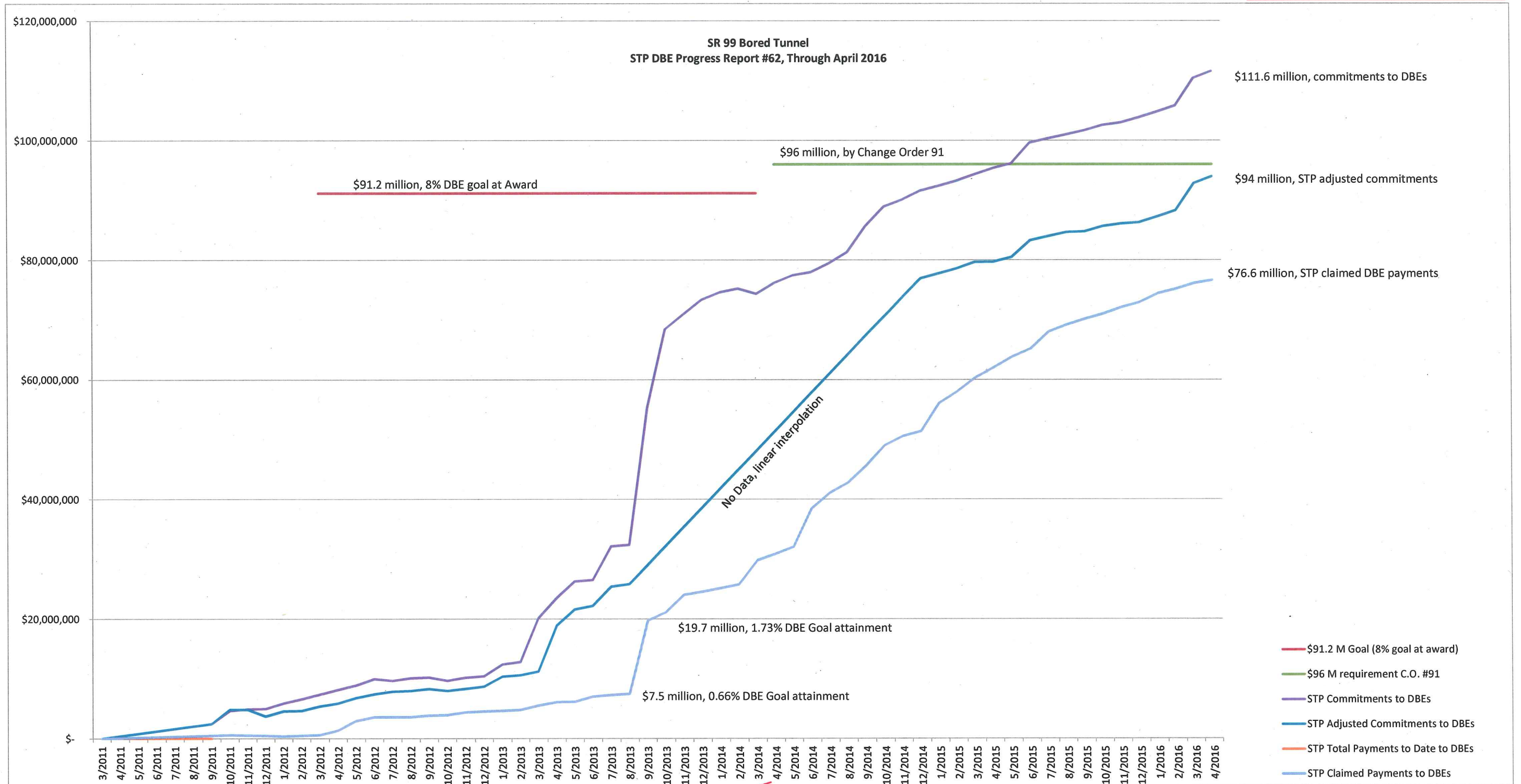
# **Exhibit C**

**SR 99 Bored Tunnel Design-Build Contract**

**DBE Goal Attainment Tracking Graphic, through April 2016**



# EXHIBIT C



**FHWA Report Released 11/1/2013**

**Change Order #91 executed 3/19/2014**  
**Conciliation Agreement Approved, 3/20/2014**





# DBE NEWS

JUNE  
QUARTERLY EDITION

## UPDATES

### DISPARITY STUDY UPDATE

In April 2016, WSDOT hired Colette Holt & Associates to conduct a new [DBE Program disparity study](#). This study will analyze the availability versus utilization of minority and women owned businesses for WSDOT projects, WSDOT's implementation of the DBE Program, and our state's transportation construction and consulting industries.

Since April, the study team held project initiation meetings with WSDOT staff, hosted statewide public informational meetings, and began gathering data from WSDOT.

### MORE INFORMATION

**Jackie Bayne**

**Disparity Study Project Manager**  
Office of Equal Opportunity  
360-705-7084

[BayneJ@wsdot.wa.gov](mailto:BayneJ@wsdot.wa.gov)

## Welcome Khalia Davis!



On June 1, Khalia Davis joined the WSDOT Office of Equal Opportunity as the new Community Engagement Manager. Ms. Davis most recently worked for the Washington State Attorney General's Office as an Assistant Attorney General. Prior to that position, she had her own law practice in the Seattle area. Ms. Davis is a Seattle native, and has a long demonstrated commitment to the community. We look forward to Ms. Davis' expertise as we continue work to strengthen community relationships.

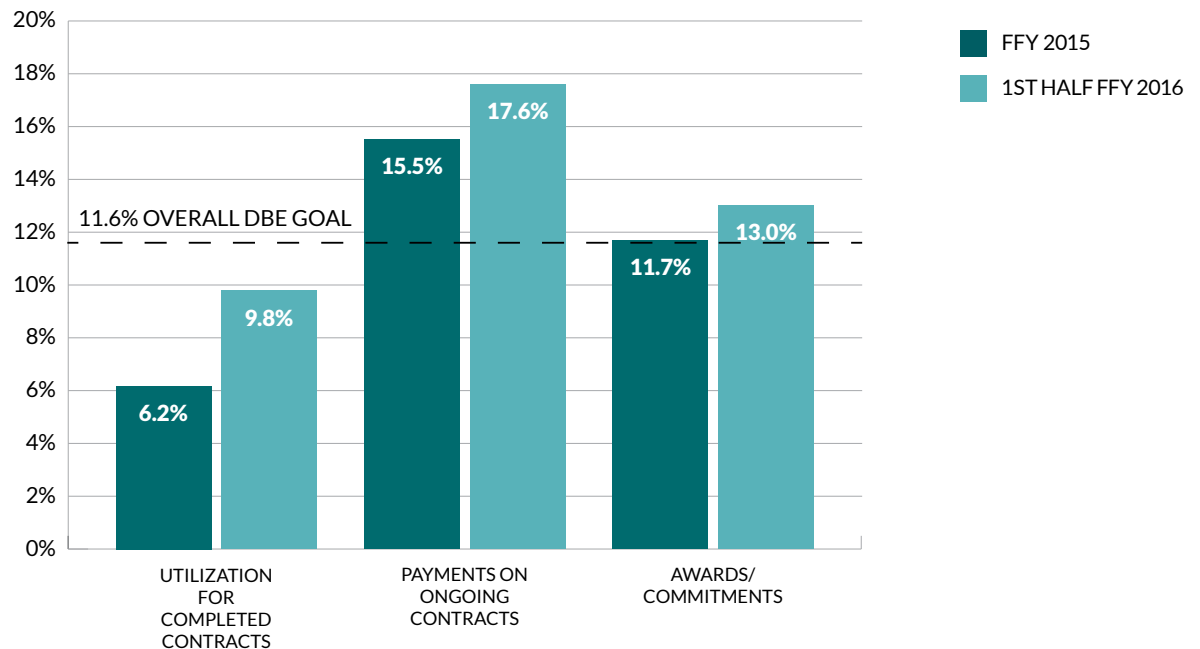
If you would like to discuss how OEO can better assist you, please contact Ms. Davis at (360) 705-7085 or by email at [DavisKh@wsdot.wa.gov](mailto:DavisKh@wsdot.wa.gov).

## Mellody Stell is Recognized by the Tulalip Tribe!



Mellody Stell has worked for WSDOT for more than 30 years. She wears many hats in OEO including working in the DBE Program, Equal Employment Opportunity Program, and coordinating statewide activities for Tribal Employment Rights Office (TERO) Program. Last month, Ms. Stell was recognized for her hard work by Teri Gobin, Tulalip TERO Director (and DBE Advisory Group Member), and was presented a Pendleton blanket, the highest honor for non-tribal members. Ms. Stell expressed how honored and humbled she is to contribute to the success of tribal students interested in working in the construction trades. OEO is honored to have such a valuable and committed staff member.





WSDOT continues to make progress in its DBE Program awards and payments. DBE Utilization (or payments) on closed contracts is 9.8%, payments on on-going projects in 17.6% and current DBE awards and commitments are 13%.

## DBE Payment Reporting Improvements Coming

You will soon have the option to sign up for email notifications from the DBE Payment System. The emails will include information regarding payments from prime contractors. The goal is to allow for automatic payment monitoring, and to provide an avenue to report inaccurate or untimely payments. Both of these goals will contribute to expedited issue resolution. The email notification system is the first of many improvements that the agency is taking to ensure DBEs are paid promptly.

## Read DBE Contract Provisions Carefully

When reviewing contracts, pay close attention to sections that may reference termination. When you are working as a condition of award DBE subcontractor, the termination for convenience clause is not allowed.

It's important to note that all contracts you sign as a condition of award DBE are required to comply with payment timelines outlined in state and federal law. For more information, visit [Revised Code of Washington 39.04.250](#) and [49 Code of Federal Regulation 26.29](#).

If you have any questions or concerns about this, please contact DBE Program Staff at (360) 705-7090.

### Americans with Disabilities Act (ADA) Information

This material can be made available in an alternate format by emailing the Office of Equal Opportunity at [wsdotada@wsdot.wa.gov](mailto:wsdotada@wsdot.wa.gov) or by calling toll free, 855-362-4ADA(4232). Persons who are deaf or hard of hearing may make a request by calling the Washington State Relay at 711.

### Title VI Notice to Public

It is the Washington State Department of Transportation's (WSDOT) policy to assure that no person shall, on the grounds of race, color, national origin or sex, as provided by Title VI of the Civil Rights Act of 1964, be excluded from participation in, be denied the benefits of, or be otherwise discriminated against under any of its federally funded programs and activities. Any person who believes his/her Title VI protection has been violated, may file a complaint with WSDOT's Office of Equal Opportunity (OEO). For additional information regarding Title VI complaint procedures and/or information regarding our non-discrimination obligations, please contact OEO's Title VI Coordinator at (360) 705-7082.



# Washington State Department of Transportation's Office of Equal Opportunity

## WHAT CAN WE DO TO BETTER SERVE YOU? COMMUNITY MEETING

### WHO:

This event is for individuals – particularly minorities, veterans and women – interested in working with WSDOT as a contractor or consultant; and those who are interested in working in the highway construction trades. Community, trade and faith-based organizations that are interested in applying for grant funds to assist individuals, specifically minorities and women, in obtaining careers in the highway construction trades also should attend.

### WHAT:

This event is to help you understand the services we provide and for us to learn how we can better serve you. Learn how you can benefit from WSDOT Equal Opportunity Programs:

- On-the-Job Training Support Services
- Disadvantaged Business Enterprise Program
- Disadvantaged Business Enterprise Support Services Program
- Minority, Women, Small and Veteran Owned Business Program

Also, meet WSDOT's Office of Equal Opportunity's new director, Earl Key.

### WHEN, WHERE and HOW:

5 to 8 p.m., Wednesday, April 6

STAR Center – Discover Room

3873 S 66th St., Tacoma

Registration: <http://bit.ly/160406OEO>

5 to 8 p.m., Wednesday, April 13

WSDOT Southwest Region Headquarters

11018 NE 51st Circle, Vancouver

Registration: <http://bit.ly/160413OEO>

5 to 8 p.m., Thursday, April 21

South Central Region Headquarters

2809 Rudkin Road, Union Gap

Registration: <http://bit.ly/160421OEO>

5 to 8 p.m., Wednesday, April 27

WSDOT Eastern Region Headquarters

2714 N Mayfair St., Spokane

Registration: <http://bit.ly/160427OEO>

For more information please call toll free (888) 259-9143 or email [DBESS@wsdot.wa.gov](mailto:DBESS@wsdot.wa.gov).

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# Disparity Study Informational Meetings

The Washington State Department of Transportation (WSDOT) is conducting a Disadvantaged Business Enterprise (DBE) Disparity Study. The Study will examine:

- The utilization and availability of DBE
- Race- and gender-based barriers to full and fair opportunities to compete for WSDOT's prime contracts and subcontracts
- The experiences of all types of firms with WSDOT's DBE program and overall contracting policies

WSDOT will be hosting a series of Study informational meetings to ensure opportunity for interested people across the state to participate in the Study. These meetings will:

- Provide an introduction to the Study team
- Explain the legal and regulatory standards applicable to studies and the DBE program

- Describe the Study process and timeline for completion
- Include information on how to provide further input

## MEETING LOCATIONS:

### Tuesday, May 10

5:30 to 7:30 p.m.

WSDOT NW Region Headquarters,  
Cafeteria Conference Room  
15700 Dayton Avenue North,  
Shoreline  
[Register HERE](#)

### Wednesday, May 11

5:30 to 7:30 p.m.

WSDOT SW Region Headquarters  
11018 NE 51st Circle, Vancouver  
[Register HERE](#)

### Thursday, May 12

5:30 to 7:30 p.m.

South Seattle Community College –  
Georgetown Campus, C122  
6737 Corson Avenue South  
Seattle  
[Register HERE](#)

### Wednesday, June 1

5:30 to 7:30 p.m.

WSDOT Pasco Maintenance Facility  
1812 North 4th Avenue, Pasco  
[Register HERE](#)

### Thursday, June 2

5:30 to 7:30 p.m.

WSDOT Eastern Region  
Headquarters, Spokane County  
Conference Room  
2714 N. Mayfair Street, Spokane  
[Register HERE](#)

## QUESTIONS AND GENERAL INFORMATION

Consultant conducting the study:

**Colette Holt & Associates**  
[wsdot\\_study@mwbelaw.com](mailto:wsdot_study@mwbelaw.com)

WSDOT's study manager:

**Jackie Bayne**  
Policy & Reporting Liaison  
WSDOT Office of Equal Opportunity  
360-705-7084  
[BayneJ@wsdot.wa.gov](mailto:BayneJ@wsdot.wa.gov)  
[wsdot.disparity-study.com](http://wsdot.disparity-study.com)

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# Disparity Study

## COMPARISON

FAQ	WSDOT Disparity Study	DES Statewide Disparity Study
<b>What is the purpose of the study?</b>	<b>Legal Requirement.</b> This study is a legal requirement for the Washington State Department of Transportation's (WSDOT) Disadvantaged Business Enterprise (DBE) Program. The study will determine the availability versus utilization of minority- and women-owned businesses. The study also evaluates WSDOT's implementation of the DBE Program and recommends areas for improvement and additional race and gender neutral measures WSDOT should consider adopting to improve DBE participation.	<b>Governor's Commitment.</b> Governor Jay Inslee has made a commitment to conduct a statewide disparity study. The study will analyze the availability of majority-, minority-, women-, and veteran-owned firms able and willing to work for the state, the state's utilization of those firms, whether there are any disparities between availability and utilization rates, and the factors contributing to any identified disparities. The study will also assess race and gender neutral strategies employed by the state to reduce disparities and identify procurement process improvements. The Department of Enterprise Services (DES) is overseeing the study on behalf of the state.
<b>What is the scope of the study?</b>	<b>Transportation Contracting:</b> The scope includes transportation related construction and consulting contracts awarded by WSDOT during Federal Fiscal Year 2012 through 2015. This study will also evaluate contracts awarded by local agencies (e.g., cities, counties, etc.) that are funded through WSDOT.	<b>State Contracting:</b> The scope includes goods and services procurements and non-transportation public works construction contracts. Approximately 30 agencies and the University of Washington and Central Washington University will be included, covering 80-plus percent of state spending.
<b>What kind of information will be used in the study?</b>	The study will use quantitative data about businesses, WSDOT procurement practices, the DBE Program, and the economy. The study will also collect anecdotal information regarding the DBE Program and transportation contracting from various stakeholders.	The study will use quantitative data about businesses, state procurement practices, and the economy. The study will also collect anecdotal information regarding business experiences and state contracting from various stakeholders.
<b>What is the study being used for?</b>	<b>DBE Program Implementation.</b> To determine the overall DBE goal and areas for DBE Program improvement. <b>M/WBE Program Implementation.</b> The study will also analyze utilization and availability of Minority and Women's Business Enterprises (M/WBEs) to determine if a disparity exists. <u>If</u> such a disparity is found, potential remedies will be identified by WSDOT. The study will also address barriers and potential solutions for small businesses in doing business with WSDOT.	<b>M/WBE Program Implementation.</b> The study will also analyze utilization and availability of M/WBEs to determine if a disparity exists. <u>If</u> such a disparity is found, potential remedies will be identified by the state. The study will also address barriers for small businesses in doing business with the state.
<b>Where are they at in the process?</b>	<b>Preliminary Data Collection and Outreach.</b> Collecting agency data and conducting public informational meetings.	<b>Selecting a Consultant.</b> A request for proposals (RFP) was posted May 2, 2016. DES hopes to have a consultant on board in early summer 2016.
<b>Who to contact for more information</b>	Jackie Bayne WSDOT, Office of Equal Opportunity (360) 705-7084 or BayneJ@wsdot.wa.gov <a href="http://wsdot.disparity-study.com/">http://wsdot.disparity-study.com/</a>	BusinessDiversity@des.wa.gov

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